Edgar Filing: ESTEP MICHELE B - Form 3

ESTEP MICHELE B

Form 3

January 09, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement OCEANFIRST FINANCIAL CORP [OCFC] **ESTEP MICHELE B** (Month/Day/Year) 01/01/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 110 WEST FRONT STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person RED BANK, Â NJÂ 07701 (give title below) (specify below) Form filed by More than One Chief Administrative Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 33,233 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$

currently valid OMB control number.

1. Title of Derivative Security	y 2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date (Month/Day/Year)		Securities Underlying		Conversion	Ownership	Beneficial
			Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of Derivative	Derivative	(Instr. 5)
	Data	F:	T:41-	A	Derivative	Security:	
	Date	Expiration	Title	Amount or	Security	Direct (D)	
	Exercisable	Date		Number of		or Indirect	

Edgar Filing: ESTEP MICHELE B - Form 3

				Shares		(I) (Instr. 5)	
Stock Option (right to buy)	12/17/2010	12/17/2019	Common Stock	5,574	\$ 19.05	D	Â
Stock Option (right to buy)	03/01/2012	03/01/2021	Common Stock	3,716	\$ 23.25	D	Â
Stock Option (right to buy)	03/01/2013	03/01/2022	Common Stock	1,771	\$ 15.34	D	Â
Stock Option (right to buy)	03/26/2017	03/26/2025	Common Stock	7,503	\$ 20.79	D	Â
Stock Option (right to buy)	12/19/2017	03/01/2026	Common Stock	7,875 (2)	\$ 22.69	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
F-	Director	10% Owner	Officer	Other	
ESTEP MICHELE B 110 WEST FRONT STREET RED BANK, NJ 07701	Â	Â	Chief Administrative Officer	Â	
Cianaturas					

Signatures

/s/ Steven J. Tsimbinos, Power of Attorney 01/09/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes shares of restricted Common stock that have not yet vested.
- Options vest in four equal installments beginning on the date first exercisable and the remaining installments on each of March 1, 2019, 2020, and 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2