

Edgar Filing: OLICKER RICHARD - Form 4

OLICKER RICHARD
Form 4
November 05, 2002

FORM 4
----- UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | |
|---|--|---|
| 1. Name and Address of Reporting Person* | 2. Issuer Name and Ticker or Trading Symbol | 6. R P (|
| Olicker Richard | Steven Madden, Ltd; SHOO | |
| (Last) (First) (Middle) | 3. IRS Identification Number of Reporting Person, if an entity (voluntary) | 4. Statement for Month/Day/Year [] [X] |
| c/o Steven Madden, Ltd. 52-16 Barnett Avenue | | 11/01/2002 11/04/2002 |
| (Street) | 5. If Amendment, Date of Original (Month/Day/Year) | 7. I (c [X] [] R |
| Long Island City, NY 11104 | | |
| (City) (State) (Zip) | | |

Table I - Non-Derivative Securities Acquired, Disposed of

| 1. Title of Security (Instr.3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr.8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3,4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction (Instr. 3 and |
|---|--------------------------------------|--|-------------------------------|--|---|
| | | | | Code V | Amount (A) or (D) Price |
| Common Stock, par value \$0.0001 per share ("Common Stock") | 11/01/02 | | P | 100 (1) (A) \$8.00 | 224,100 (6) |
| Common Stock | 11/01/02 | | P | 300 (2) (A) \$8.00 | 224,100 (6) |

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|--------------|----------|---|---------|-----|---------|-------------|
| Common Stock | 11/01/02 | P | 600 (3) | (A) | \$8.00 | 224,100 (6) |
| Common Stock | 11/01/02 | P | 600 (4) | (A) | \$8.00 | 224,100 (6) |
| Common Stock | 11/04/02 | P | 500 (5) | (A) | \$8.00 | 224,100 (6) |
| Common Stock | 11/01/02 | S | 100 | (D) | \$17.06 | 224,100 (6) |
| Common Stock | 11/01/02 | S | 300 | (D) | \$17.07 | 224,100 (6) |
| Common Stock | 11/01/02 | S | 600 | (D) | \$17.05 | 224,100 (6) |
| Common Stock | 11/01/02 | S | 600 | (D) | \$17.11 | 224,100 (6) |
| Common Stock | 11/04/02 | S | 500 | (D) | \$17.05 | 224,100 (6) |

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(E.G., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Mo/Dy/Yr) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |
|--|--|--------------------------------------|--|--------------------------------|-----------|---|
| | | | | Code | V (A) (D) | |
| | | | | | | |
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FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(E.G., puts, calls, warrants, options, convertible securities)

| 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) | 11. Name of Beneficial Owner (I) |
|--|---|--|--|---|----------------------------------|
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