#### Edgar Filing: STEVEN MADDEN, LTD. - Form 3

STEVEN MADDEN, LTD.

Form 3

September 14, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

C/O STEVEN MADDEN.

LTD., Â 52-16 BARNETT

Person \*

Frieders Karla

(Last)

**AVENUE** 

(First)

(Street)

(State)

(Middle)

Statement

(Month/Day/Year)

09/04/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

STEVEN MADDEN, LTD. [SHOO]

4. Relationship of Reporting

5. If Amendment, Date Original Filed(Month/Day/Year)

Person(s) to Issuer

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below) Chief Merchandising Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

LONG ISLAND CITY, NYÂ 11104

(City)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Â Common Stock, par value \$0.0001 per share 63,440 (1) (2) (3) (4) (5) D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative

Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(6)	01/02/2020	Common Stock	60,000	\$ 28.18	D	Â
Stock Option (Right to Buy)	(7)	02/01/2018	Common Stock	67,500	\$ 16.96	D	Â
Stock Option (Right to Buy)	(8)	04/01/2016	Common Stock	33,750	\$ 5.56	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Frieders Karla C/O STEVEN MADDEN, LTD. 52-16 BARNETT AVENUE LONG ISLAND CITY, NY 11104	Â	Â	Chief Merchandising Officer	Â		

## **Signatures**

/s/ Karla
Frieders

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes an aggregate of 34,643 restricted shares of common stock of Steven Madden, Ltd. (the "Company") awarded to the reporting (1) person under the Steven Madden, Ltd. 2006 Stock Incentive Plan (the "Plan"), in each case subject to forfeiture pursuant to the terms of the Plan, as described in footnotes (2), (3), (4) and (5) hereof.
- (2) Grant Date: March 2, 2015 Vesting: Five (5) substantially equal annual installments on each of March 2, 2016, March 2, 2017, March 2, 2018, March 2, 2019 and March 2, 2020 Restricted Shares: 20,758
- (3) Grant Date: March 17, 2014 Vesting: Five (5) substantially equal annual installments on each of March 5, 2015, March 5, 2016, March 5, 2017, March 5, 2018 and March 5, 2019 Restricted Shares: 4,399
- (4) Grant Date: March 15, 2013 Vesting: Five (5) substantially equal annual installments on each of March 15, 2014, March 15, 2015, Marcy 15, 2016, March 15, 2017 and March 15, 2018 Restricted Shares: 3,978
- (5) Grant Date: March 14, 2012 Vesting: Five (5) substantially equal annual installments on each of January 5, 2013, January 5, 2014, January 5, 2015, January 5, 2016 and January 5, 2017 Restricted Shares: 5,508
- The option was granted to the reporting person under the Plan on January 2, 2013 and is exercisable in four (4) equal annual installments (6) commencing on the first anniversary of the grant date. The first and second installments became exercisable on January 2, 2014 and January 2, 2015, respectively, and the next two installments will become exercisable on January 2, 2016 and January 2, 2017.
- (7) The option was granted to the reporting person under the Plan on February 1, 2011 and became fully vested on February 1, 2015.
- (8) The option was granted to the reporting person under the Plan on April 1, 2009 and became fully vested on April 1, 2013.

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#### Remarks:

Reporting Owners 2

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Exhibit 24 - Power of Attorney dated September 14, 2015 by Karla Frieders

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.