## Edgar Filing: IntelGenx Technologies Corp. - Form 4

IntelGenx Technologies Corp. Form 4 December 08, 2014

December 08	3, 2014							
FORM	4		~ .					PPROVAL
	UNITEDS		RITIES A shington,			COMMISSION	OMB Number:	3235-0287
Check thi if no long	er						Expires:	January 31, 2005
subject to STATENI Section 16. Form 4 or			T OF CHANGES IN BENEFICIAL OW SECURITIES				Estimated a burden hou response	average Irs per
obligation may contr <i>See</i> Instru 1(b).	ns Section 17(a	uant to Section ) of the Public U 30(h) of the I	Jtility Hold	ling Com	pany Act o	of 1935 or Section	n	
(Print or Type F	Responses)							
1. Name and A Zerbe Horst	ddress of Reporting P G	Symbol	er Name <b>and</b>		-	5. Relationship o Issuer	f Reporting Per	son(s) to
	IntelGe [IGXT	enx Techno ]	ologies Co	orp.	(Check all applicable)			
(M			3. Date of Earliest Transaction (Month/Day/Year) 12/08/2014			_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below)		
							CEO	
HUDSON	(Street)		endment, Da onth/Day/Year)	-		6. Individual or J Applicable Line) _X_ Form filed by Form filed by I	One Reporting Pe	erson
HUDSON, A	Að JUPTHU					Person		
(City)	(State) (	Zip) Tab	ole I - Non-D	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year	Code ) (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (par value 0.00001)			Coue	Anount		445,964	D	
Common Stock (par value 0.00001)						4,238,679.5	I	See footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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#### required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Expiration Date curities (Month/Day/Year) equired ) or sposed of ) istr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (Right to buy)	\$ 0.53	12/08/2014		А	6,250	06/08/2015	12/08/2019	Common Stock	6,250
Options (Right to buy)	\$ 0.53	12/08/2014		А	6,250	12/08/2015	12/08/2019	Common Stock	6,250
Options (Right to buy)	\$ 0.53	12/08/2014		А	6,250	06/08/2016	12/08/2019	Common Stock	6,250
Options (Right to buy)	\$ 0.53	12/08/2014		А	6,250	12/08/2016	12/08/2019	Common Stock	6,250

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	r Officer CEO	Other		
Zerbe Horst G 714 MAIN RD. HUDSON, A8 J0P1H0	Х		CEO			
Signatures						
/s/ Ingrid Zerbe for Horst G. Zerbe		12/08/2014				
<u>**</u> Signature of Reporting Person		Date				

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In connection with the acquisition of IntelGenx in 2006, Horst Zerbe became our President, Chief Executive Officer and Director and acquired 4,709,643.5 exchangeable shares of our Canadian holding corporation 6544631Canada Inc., a Canadian special purpose corporation which wholly owns IntelGenx Corp. (the "Exchangeable Shares"). The Exchangeable Shares are exchangeable, on a one for

(1) one basis, into shares of common stock of IntelGenx Technologies Corp. at Horst Zerbe's discretion. As of today 470,964 exchangeable shares have been exchanged into common stock of IntelGenx Technologies Corp. Prior to exchanging the remaining Exchangeable Shares for shares of common stock, Horst Zerbe has the right to vote 4,238,679.5 shares of common stock which are currently held in trust on behalf of Horst Zerbe. The 4,709,643.5 shares of common stock have not been registered for resale at this time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.