

Duke Energy CORP  
Form 8-K  
April 22, 2014

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the**  
**Securities Exchange Act of 1934**

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Date of Report (Date of earliest event reported): **April 22, 2014**

**Commission file  
number**

**Registrant, State of Incorporation or Organization,  
Address of Principal Executive Offices, and Telephone Number**

**IRS Employer  
Identification No.**

**1-32853**

**DUKE ENERGY CORPORATION**  
(a Delaware corporation)

**20-2777218**

**550 South Tryon Street**

**Charlotte, North Carolina 28202-1803**

**704-382-6200**

**1-04928**

**DUKE ENERGY CAROLINAS, LLC**

**56-0205520**

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**(a North Carolina limited liability company)**

**(a North Carolina corporation)**

**410 South Wilmington Street**

**Raleigh, North Carolina 27601-1748**

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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**Item 8.01. Other Events.**

On April 22, 2014, Paul Newton, the North Carolina State President of Duke Energy Carolinas, LLC (the Company) testified before the North Carolina Joint Environmental Review Commission on the Company's response to the Dan River coal ash release that occurred on February 2, 2014, and its near-term actions to address coal ash at five of the Company's sites across North Carolina. He also discussed the Company's preparations to begin a comprehensive, longer-term ash basin strategy that involves a site-specific, fact based approach at each of its coal plant sites to be completed by the end of 2014.

Attached as Exhibit 99.1 to this Form 8-K is a chart comparing the estimated costs of several possible approaches to ash management at our North Carolina ash basin sites. The attached estimates assume that coal ash will retain a non-hazardous designation by the Environmental Protection Agency and exclude financing, inflation and increased operations and maintenance costs.

A copy of this chart has also been posted on Duke Energy Corporation's (Duke Energy) ash management website at <http://www.duke-energy.com/ash-management/>.

**Item 9.01. Financial Statements and Exhibits.**

(d) *Exhibits.*

99.1 North Carolina Coal Ash Plan Cost Estimate Comparisons

**SIGNATURE**

Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**DUKE ENERGY CORPORATION**

Date: April 22, 2014

|        |  |
|--------|--|
| By:    | /s/ Julie S. Janson  |
| Name:  | Julia S. Janson  |
| Title: | Executive Vice President, Chief Legal Officer and<br>Corporate Secretary |

**EXHIBIT INDEX**

| <b>Exhibit</b> | <b>Description</b>                                     |
|----------------|--|
| 99.1           | North Carolina Coal Ash Plan Cost Estimate Comparisons |

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