

Smart & Final Stores, Inc.  
Form 8-K  
April 25, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

WASHINGTON, DC 20549

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**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the**  
**Securities Exchange Act of 1934**

Date of report (Date of earliest event reported) **April 20, 2016**

**SMART & FINAL STORES, INC.**

(Exact Name of Registrant as Specified in Charter)

**Delaware**  
(State or Other Jurisdiction  
of Incorporation)

**001-36626**  
(Commission  
File Number)

**80-0862253**  
(IRS Employer  
Identification No.)

**600 Citadel Drive, Commerce, CA**  
(Address of Principal Executive Offices)

**90040**  
(Zip Code)

Registrant's telephone number, including area code **(323) 869-7500**

## Edgar Filing: Smart & Final Stores, Inc. - Form 8-K

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On April 20, 2016, Martin J. Trtek, President, *Cash & Carry*, notified Smart & Final Stores, Inc. (the Company) that he intends to retire on or about January 2, 2017 (the Retirement Date).

In connection with Mr. Trtek's retirement, the Compensation Committee of the Board of Directors of the Company (the Board of Directors) has approved a modification to Mr. Trtek's compensation such that Mr. Trtek's annual cash incentive bonus for fiscal year 2016 under the Smart & Final Stores, Inc. 2016 Annual Performance Bonus Program (the Bonus Program) will be paid out at a minimum of target (70% of base salary) following completion of the 2016 fiscal year. Mr. Trtek will be entitled to receive the bonus, provided that his employment continues through January 1, 2017, except that Mr. Trtek will not receive the bonus if his employment is terminated by the Company for Cause (as defined in the Smart & Final Stores, Inc. 2014 Stock Incentive Plan). Mr. Trtek may receive a bonus payment greater than 70% of base salary upon achievement of performance objectives applicable to Cash & Carry in excess of target. Payment of the bonus will be made to Mr. Trtek in 2017 at the same time as fiscal year 2016 bonus payments are made to other executive officers of the Company in accordance with the Bonus Program.

Also, the Board of Directors approved an amendment to Mr. Trtek's outstanding stock options to provide that the portion of such stock options that are vested and exercisable on the Retirement Date will remain exercisable for two years following the Retirement Date. Prior to such amendment, certain of Mr. Trtek's stock options would have ceased to be exercisable after 30 days following the Retirement Date, and certain of Mr. Trtek's stock options would have ceased to be exercisable as of the Retirement Date.

No other material plan, contract or arrangement was entered into or materially amended in connection with Mr. Trtek's retirement.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SMART & FINAL STORES, INC.

Date: April 25, 2016

By:	/s/ Richard N. Phegley
Name:	Richard N. Phegley
Title:	Senior Vice President and Chief Financial Officer