Adaptimmune Therapeutics PLC Form 8-K August 04, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

#### **Current Report**

Pursuant to Section 13 or 15(d) of

the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 3, 2016

# ADAPTIMMUNE THERAPEUTICS PLC

(Exact name of registrant as specified in its charter)

England and Wales (State or other jurisdiction of incorporation) 1-37368 (Commission File Number) Not Applicable (IRS Employer Identification No.)

101 Park Drive, Milton Park

Abingdon, Oxfordshire OX14 4RY

**United Kingdom** 

(Address of principal executive offices, including zip code)

(Registrant s telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 7.01 Other Events.

On August 3, 2016, Adaptimmune Therapeutics plc (the Company ) issued a press release reporting a partial clinical hold on its planned pivotal study of NY-ESO SPEAR® T-cell therapy in myxoid round cell liposarcoma. This trial is not yet active at any investigational sites, and has not recruited any patients. This notification of partial clinical hold from the U.S. Food and Drug Administration (FDA) does not apply to any other Adaptimmune study. The Company intends to provide a full response to the FDA shortly and will discuss the partial clinical hold during its conference call to discuss the second quarter ended June 30, 2016, scheduled for 8:00 am Eastern Time (1:00 pm BST) on Monday August 8, 2016. The press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated by reference herein.

The information in Item 7.01 of this Form 8-K (including Exhibit 99.1) shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference under the Securities Act of 1933, as amended, except as expressly set forth by specific reference in such a filing, regardless of any general incorporation language in any such filing, unless the Company expressly sets forth in such filing that such information is to be considered filed or incorporated by reference therein.

#### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits. The following exhibit is furnished as part of this Report on Form 8-K:

Exhibit No. Description of Exhibit

99.1 Press Release dated August 3, 2016.

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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

#### ADAPTIMMUNE THERAPEUTICS PLC

Date: August 3, 2016 By: /s/ Margaret Henry

Name: Margaret Henry
Title: Corporate Secretary

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#### **Exhibit Index**

Exhibit No. Description of Exhibit

99.1 Press Release dated August 3, 2016

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