Smart & Final Stores, Inc. Form 8-K March 14, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported) March 14, 2018

SMART & FINAL STORES, INC.

(Exact Name of Registrant as Specified in Charter)

Delaware (State or Other Jurisdiction of Incorporation) **001-36626** (Commission File Number)

80-0862253 (IRS Employer Identification No.)

600 Citadel Drive, Commerce, CA (Address of Principal Executive Offices)

90040 (Zip Code)

Registrant s telephone number, including area code (323) 869-7500

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N/A

(Former Name or Former Address, if Changed Since Last Report)

| Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant und the following provisions (see General Instruction A.2. below): | der any of |
|---|--------------|
| Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) | |
| o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) | |
| o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.1 | l4d-2(b)) |
| o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.1 | 3e-4(c)) |
| Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). | §230.405 of |
| Emerging growth company O | |
| If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for com any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. O | iplying witl |

Item 2.02 Results of Operations and Financial Condition.

On March 14, 2018, Smart & Final Stores, Inc. issued a press release announcing its financial results for the quarter and full year ended December 31, 2017. The text of the press release is included as Exhibit 99.1 to this Form 8-K.

The information disclosed under this Item 2.02, including Exhibit 99.1 hereto, is being furnished and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934 and shall not be deemed incorporated by reference into any filing made under the Securities Act of 1933, except as expressly set forth by specific reference in such filing.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits:

Exhibit Number Description

99.1 Press Release, dated March 14, 2018

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Exhibit Index

Exhibit Number

Description

99.1

Press Release, dated March 14, 2018

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SMART & FINAL STORES, INC.

Date: March 14, 2018

By: /s/ Richard N. Phegley Name: Richard N. Phegley

Title: Senior Vice President and Chief Financial Officer