Edgar Filing: BankGuam Holding Co - Form 4

| BankGuam H | lolding Co | | | | | | | | | | | | |
|---|---|--------------|--|-----------------------------|-------------|------------------------|----------------|--|----------------------------|-------------------------|--|--|--|
| Form 4 | | | | | | | | | | | | | |
| September 30 |), 2013 | | | | | | | | | | | | |
| FORM | 4 | | | | | ~~~ | | | - | PPROVAL | | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | | | | |
| Check this box | | | | | | | | Expires: | January 31, | | | | |
| subject to | if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O | | | | | | | NERSHIP OF | Estimated | 2005 average | | | |
| Section 16 | | SECURITIES | | | | | | | burden hours per | | | | |
| Form 4 or Form 5 | | | a 14 | | a | | | | response | response 0.5 | | | |
| obligation | _ ^ | | | | | | - | ge Act of 1934, | | | | | |
| may conti | Section 1 | | | • | • | | | f 1935 or Sectio | n | | | | |
| See Instru | ction | 30(h) |) of the Inv | vestment (| Company | y Act | of 19 | 40 | | | | | |
| 1(b). | | | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | | | |
| | , i i i i i i i i i i i i i i i i i i i | | | | | | | | | | | | |
| 1. Name and Ad | ddress of Reporti | ng Person * | 2. Issuer | Name and | Ticker or T | Fradin | g | 5. Relationship of | f Reporting Per | Reporting Person(s) to | | | |
| Sablan Ralph Guerrero M.D. Symbo | | | | | | | 0 | Issuer | | | | | |
| | | | | am Holdi | ng Co [B | KGN | A] | | | | | | |
| (Last) | | Earliest Tra | · - | | - | (Check all applicable) | | | | | | | |
| | | | | ay/Year) | uisaction | | | X Director 10% Owner | | | | | |
| 111 CHALAN SANTO PAPA 09/2 | | | | - | | | | Officer (give | title Other (specify | | | | |
| | | | | | | | | below) | below) | | | | |
| | | | | If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | | |
| | | | | th/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| | | | | | | | | | More than One Reporting Po | | | | |
| HAGATNA, | , GU 96910 | | | | | | | Person | | 1 0 | | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | | | |
| 1.Title of | 2. Transaction l | Date 2A. Dec | emed | 3. | 4. Securi | ties | | 5. Amount of | 6. Ownership | 7. Nature of | | | |
| Security | (Month/Day/Ye | ear) Executi | on Date, if TransactionAcquired (A) or Code Disposed of (D) | | | | | Securities | Form: Direct | Indirect | | | |
| (Instr. 3) | | any | | | | | | Beneficially | · / | Beneficial | | | |
| | | (Month | /Day/Year) (Instr. 8) (Instr. 3, 4 and 5) | | | | 5) | Owned Following | | Ownership (Instr. 4) | | | |
| | | | | | | | Reported | (msu. r) | (| | | | |
| | | | | | | (A) or | | Transaction(s) | | | | | |
| | | | | Code V | Amount | | Price | (Instr. 3 and 4) | | | | | |
| Common Stock | 09/27/2013 | | | Р | 555 | A | \$9 | 427,579 | D <u>(1)</u> | | | | |
| | | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transact Code (Instr. 8) | of | | | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr |
|---|---|---|--------------------------------------|-----|-----|---------------------|--------------------|---|--|---|---|
| | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| | | Relatio | nships | | | |
|--|----------|--------------|---------|-------|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | |
| Sablan Ralph Guerrero M.D. 111 CHALAN SANTO PAPA HAGATNA, GU 96910 | Х | | | | | |
| Signatures | | | | | | |
| /s/ Tina Renee A Sablan, attorne Sablan | G | 09/30/2013 | | | | |
| <u>**</u> Signature of Reporti | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 427,079 shares are subject to a certain Voting Trust Agreement dated September 18, 1990 of which Ms. Lourdes Leon Guerrero is the Trustee

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.