MARSH & MCLENNAN COMPANIES, INC.

Form 4

December 16, 2016

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* McDonald Scott

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

MARSH & MCLENNAN COMPANIES, INC. [MMC]

Issuer

below)

(Check all applicable)

President and CEO of OWG

(Last)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 12/15/2016

Director 10% Owner Other (specify X\_ Officer (give title

5. Relationship of Reporting Person(s) to

1166 AVENUE OF THE

**AMERICAS** 

(City)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10036

		Table 1 Troit Delivative Securities Required, Disposed of, of Deficiently Owned							, O willed
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	12/15/2016		M(1)	10,411	A	\$ 0	36,506.1498 (2)	D	
Common Stock	12/15/2016		F(1)	4,894	D	\$ 68.98	31,612.1498	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(3)	12/15/2016		M <u>(1)</u>	10,41	1 (4)	<u>(4)</u>	Common Stock	10,411	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McDonald Scott 1166 AVENUE OF THE AMERICAS NEW YORK, NY 10036

President and CEO of OWG

De

## **Signatures**

/s/ Tiffany D. Wooley, Attorney-in-Fact

12/16/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Vesting and distribution to reporting person of 10,411 shares underlying restricted stock units of which 4,894 were withheld by Marsh &

- (1) McLennan Companies to cover applicable taxes. These 10,411 shares underlying restricted stock units relate to restricted stock units that were granted on December 11, 2013.
- (2) Includes dividend reinvestment shares acquired on May 13, 2016, August 15, 2016, and November 15, 2016.
- (3) The security converts to Marsh & McLennan Companies common stock on a 1-for-1 basis.
- (4) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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