BankGuam Holding Co Form 4 December 29, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**SECURITIES** 

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1. Name and Address of Reporting Person \*

1(b).

(Print or Type Responses)

Cook Joaquin Phillip Leon Guerrero			Symbol	Issuer			
			BankGuam Holding Co [BKGM]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Chech an apphoach)			
			(Month/Day/Year)	X Director 10% Owner			
111 CHALAN SANTO PAPA			12/29/2016	X Officer (give title Other (specify			

2. Issuer Name and Ticker or Trading

II CHALAN SANTO PAPA

HAGATNA, GU 96910

(Street)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line)

below)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

5. Relationship of Reporting Person(s) to

below) EVP/Chief Sales & Service Ofcr

**OMB APPROVAL** 

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response...

(City)	(State) (Z	Zip) Table	e I - Non-Do	erivative S	Securities Aco	quired, Disposed	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any	Code	4. Securities Acquired n(A) or Disposed of (D)		Securities Form: Direct Indirect Beneficially (D) or Bene	7. Nature of Indirect Beneficial	
		(Month/Day/Year)	(Instr. 8)  Code V	(Instr. 3,	(A) or (D) Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock	12/29/2016		A	100	A \$ 9.88	6,591	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: BankGuam Holding Co - Form 4

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4.	5. orNumber	6. Date Exerc Expiration Da		7. Title Amou		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Monun Day/ Year)	execution Date, if any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Under Securi	lying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
Cook Joaquin Phillip Leon Guerrero 111 CHALAN SANTO PAPA HAGATNA, GU 96910	X		EVP/Chief Sales & Service Ofcr			

## **Signatures**

/s/ Lorraine P Guzman, Attorney-in-Fact for Joaquin Philip Leon Guerrero
Cook
12/30/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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