PUBLIX SUPER MARKETS INC

Form 5

January 30, 2017

FORM 5

1. Name and Address of Reporting Person *

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: 3235-0362 Expires: January 31, 2005

OMB

5. Relationship of Reporting Person(s) to

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2. Issuer Name and Ticker or Trading

Estimated average burden hours per response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported 30(h) of the Investment Company Act of 1940

Symbol

Form 4

Transactions Reported

ATTAWAY JOHN A JR

			UBLIX NONE]	SUPER M	1ARKET	S IN	C	(Check all applicable)			
(Last)	(First) ((M	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016			DirectorX Officer (give below)	title Othe below)	Owner r (specify			
P.O. BOX	407							SR VP/Ge	neral Counsel/S	secr.	
	(Street)			nendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Reporting			
								(check			
LAKELAN	ID, FL 33802	-0407						_X_ Form Filed by C Form Filed by M Person			
(City)	(State)	(Zip)	Table	I - Non-Der	ivative Sec	curitie	es Acqu	ired, Disposed of	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership	
					Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock	Â	Â		Â	Â	Â	Â	50,465	D	Â	
Common Stock	Â	Â		Â	Â	Â	Â	1,069.1934	I	By 401(k)	
Common Stock	Â	Â		Â	Â	Â	Â	23,691.9757	I	By ESOP	
	port on a separate line efficially owned direct			contained i	n this for	m are	e not r	llection of inform equired to responding Alid OMB contro	nd unless	SEC 227 (9-02	

Edgar Filing: PUBLIX SUPER MARKETS INC - Form 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SERP Stock	Â	03/01/2016	Â	A	887.758	Â	(3)	(3)	Common Stock	887.758

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ATTAWAY JOHN A JR P.O. BOX 407	Â	Â	SR VP/General Counsel/Secr.	Â				
LAKELAND, FL 33802-0407								

Signatures

/s/ Monica Allman, POA on file for John A. Attaway, Jr.

01/30/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).
- (2) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).

Each share of SERP stock is the economic equivalent of one share of common stock. The shares of SERP stock become payable in

(3) common stock upon the reporting person's termination of employment in accordance with the Publix Super Markets, Inc. Supplemental Executive Retirement Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2