

HOLOGIC INC
Form 4
July 06, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ULLIAN ELAINE

(Last) (First) (Middle)
250 CAMPUS DRIVE
(Street)
MARLBOROUGH, MA 01752

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HOLOGIC INC [HOLX]

3. Date of Earliest Transaction (Month/Day/Year)
07/03/2017

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 06/26/2017 | | G ⁽¹⁾ | V 1,362 D \$ 0 | 23,810 | D | |
| Common Stock | 07/03/2017 | | M ⁽²⁾ | 8,849 A \$ 32.815 | 32,659 | D | |
| Common Stock | 07/03/2017 | | S ⁽²⁾ | 8,849 D \$ 45.279 | 23,810 | D | |
| Common Stock | 07/05/2017 | | M ⁽²⁾ | 1,451 A \$ 32.815 | 25,261 ⁽⁴⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Non-qualified Stock Option (Right to Buy) | \$ 32.815 | 07/03/2017 | | M ⁽²⁾ | 8,849 | ⁽⁵⁾ 10/22/2017 | Common Stock | 8,849 |
| Non-qualified Stock Option (Right to Buy) | \$ 32.815 | 07/05/2017 | | M ⁽²⁾ | 1,451 | ⁽⁵⁾ 10/22/2017 | Common Stock | 1,451 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| ULLIAN ELAINE 250 CAMPUS DRIVE MARLBOROUGH, MA 01752 | | | X | |

Signatures

/s/ Patricia K. Dolan, attorney-in-fact for Ms. Ullian

07/06/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The Reporting Person voluntarily transferred 681 shares of common stock to each of the irrevocable Ullian Family 2014 GST Trust and the irrevocable Ullian Family Health and Education Trust for a total of 1,362 shares.
- (2) Transaction pursuant to an existing rule 10b5-1 trading plan.

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- The price reported is the weighted average price per share. Shares were sold in multiple transactions at prices ranging from \$45.04 to
- (3) \$45.49 per share. The Reporting Person will provide, upon request by the Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price.
 - (4) Includes 3,260 shares subject to deferral pursuant to Issuer's Deferred Equity Plan.
 - (5) This option became fully vested on 10/22/2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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