

Khanna Rohit  
Form 4  
October 30, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Khanna Rohit

(Last) (First) (Middle)  
34 MAPLE STREET  
(Street)  
MILFORD, MA 01757  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
WATERS CORP /DE/ [WAT]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/27/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_ Other (specify below)  
SVP, Applied Technology

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	10/27/2017	10/27/2017	M	9,600 A	\$ 98.21 13,677	D	
Common Stock	10/27/2017	10/27/2017	S	9,600 D	\$ 197.91 4,077	D	
Common Stock	10/27/2017	10/27/2017	M	10,000 A	\$ 113.36 14,077	D	
Common Stock	10/27/2017	10/27/2017	S	10,000 D	\$ 197.92 4,077	D	
Common Stock	10/27/2017	10/27/2017	M	4,690 A	\$ 128.93 8,767	D	

Edgar Filing: Khanna Rohit - Form 4

Common Stock	10/27/2017	10/27/2017	S	4,690	D	\$ 198.01	4,077	D	
Common Stock	10/27/2017	10/27/2017	M	2,623	A	\$ 117.68	6,700	D	
Common Stock	10/27/2017	10/27/2017	S	2,623	D	\$ 197.92	4,077	D	
Common Stock							183.2397	I	By ESPP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Buy)	\$ 98.21	10/27/2017	10/27/2017	M	9,600	12/06/2014 12/06/2023	Common Stock	9,600	
Stock Option (Right to Buy)	\$ 113.36	10/27/2017	10/27/2017	M	10,000	12/11/2015 12/11/2024	Common Stock	10,000	
Stock Option (Right to Buy)	\$ 128.93	10/27/2017	10/27/2017	M	4,690	12/09/2016 12/09/2025	Common Stock	4,690	
Stock Option (Right to Buy)	\$ 117.68	10/27/2017	10/27/2017	M	2,623	02/10/2017 02/10/2026	Common Stock	2,623	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Khanna Rohit 34 MAPLE STREET MILFORD, MA 01757			SVP, Applied Technology	

## Signatures

/s/ Rohit Khanna                      10/30/2017

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.