## Edgar Filing: Leon Guerrero William David - Form 4

Leon Guerrero William David Form 4 November 28, 2017

November 2	8, 2017									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL			
	UNITED ST		RITIES A ashington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont See Instru 1(b).	6. Filed pursus strue. Section 17(a)	ant to Section of the Public U	F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange Public Utility Holding Company Act of of the Investment Company Act of 194				e Act of 1934, 1935 or Section	Estimated averag burden hours per response Act of 1934,		
(Print or Type I	Responses)									
	address of Reporting Per ero William David	Symbol	er Name <b>and</b> Juam Hold			-	5. Relationship of Issuer			
(Last)	(First) (Mid	Idle) 3. Date	of Earliest Ti	ransaction		-	(Check	k all applicable	e)	
111 CHALA		(Month/Day/Year) 11/20/2017				X Director 10% Owner X Officer (give title Other (specify below) below) EVP & COO				
HAGATNA	(Street) A, GU 96910		endment, Daonth/Day/Year	-			6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M Person	One Reporting Pe	erson	
(City)	(State) (Zi	ip) Tal	ole I - Non-I	Derivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	aı		3.	4. Securiti n(A) or Dis (Instr. 3, 4) Amount	es Acc posed	quired of (D)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Common	11/20/2017		Р	10,000	A	\$	73,621	D (1)		
Stock Common Stock						12.25	2,520	I <u>(2)</u>	Shares are registered under the spouse's name Zita T Leon Guerrero	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
			Code V	of (D) (Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting O (ner rante / rantess	Director	10% Owner	Officer	Other			
Leon Guerrero William David 111 CHALAN SANTO PAPA HAGATNA, GU 96910	Х		EVP & COO				

## Signatures

/s/ Tina Renee A Sablan, attorney-in-fact for William D Leon 11/29/2017 Guerrero <u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 73,621 shares are subject to a certain Voting Trust Agreement dated November 29, 2013 of which Ms. Lourdes Leon Guerrero is the Trustee
- (2) 2,520 shares are subject to a certain Voting Trust Agreement dated November 29 2013 of which Ms. Lourdes Leon Guerrero is the Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.