STOVER DAVID L

Form 4

February 05, 2018

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* STOVER DAVID L

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

(Middle)

NOBLE ENERGY INC [NBL]

1001 NOBLE ENERGY WAY

(First)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_ Director 10% Owner X\_ Officer (give title

(Check all applicable)

Other (specify

02/01/2018

President, CEO & Director

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

HOUSTON, TX 77070

|   |   |   |   |                     |        |  | Person   |   |          |
|---|---|---|---|---------------------|--------|--|--|---|----------|
| (City)                                      | (State)                                 | (Zip) Tabl  | e I - Non-I   | Derivative S        | Securi | ities Acqu   | iired, Disposed of                                       | f, or Beneficial  | ly Owned |
| 1.Title of<br>Security<br>(Instr. 3)        | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |                     |        | Beneficially Form: Owned (D) or Following Indirect Reported (Instr. Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
| Noble<br>Energy,<br>Inc.<br>Common<br>Stock | 02/01/2018                              |   | Code V  | Amount  12,274  (1) | (D)    | Price \$ 30.89   | (Instr. 3 and 4)<br>666,050                              | D   |          |
| Noble<br>Energy,<br>Inc.<br>Common<br>Stock | 02/01/2018                              |   | F   | 10,305<br>(2)       | D      | \$<br>30.89  | 655,745  | D   |          |
| Noble<br>Energy,                            | 02/01/2018                              |   | A   | 87,811<br>(3)       | A      | \$ 0   | 743,556  | D   |          |

Inc.
Common
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)       | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) |         |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|---|---|---|---|--|---------|-----|--|--------------------|---|----------------------------|
|   |   |   |   | Code V                                 | (A)     | (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>Number<br>Shares |
| Employee<br>Stock<br>Option<br>Grant<br>(Right to<br>Buy) | \$ 30.89  | 02/01/2018                              |   | A                                      | 111,031 |     | <u>(4)</u>   | 02/01/2028         | Noble<br>Energy,<br>Inc.<br>Common<br>Stock                   | 111,03                     |

## **Reporting Owners**

| Reporting Owner Name / Address          | Relationships      |  |                           |       |  |  |  |  |
|---|--------------------|--|---------------------------|-------|--|--|--|--|
|   | Director 10% Owner |  | Officer                   | Other |  |  |  |  |
| STOVER DAVID L<br>1001 NOBLE ENERGY WAY | X                  |  | President, CEO & Director |       |  |  |  |  |
| HOUSTON TX 77070                        |                    |  |                           |       |  |  |  |  |

#### **Signatures**

/s/ Aaron G. Carlson, Attorney-in-Fact 02/05/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares relinquished to Noble Energy, Inc. by the reporting person out of, and to cover estimated tax withholding for, restricted shares granted on February 1, 2016, and vesting on February 1, 2018. The stock price reflected in Table I Column 4 was determined based on "fair market value," defined in the 1992 Plan for this transaction as the closing trading price of Noble Energy, Inc. common

Reporting Owners 2

#### Edgar Filing: STOVER DAVID L - Form 4

stock on the NYSE on February 1, 2018.

- Reflects shares relinquished to Noble Energy, Inc. by the reporting person out of, and to cover estimated tax withholding for, restricted shares granted on February 1, 2017, and vesting on February 1, 2018. The stock price reflected in Table I Column 4 was determined based on "fair market value," defined in the 1992 Plan for this transaction as the closing trading price of Noble Energy, Inc. common stock on the NYSE on February 1, 2018.
- (3) Restricted shares of Noble Energy, Inc. Common Stock subject to vesting 1/3 after year one, 1/3 after year two and the final 1/3 after year three, granted under the 2017 Plan.
- (4) The option is exercisable in three equal annual installments beginning one year after the date of grant, granted under the 2017 Plan.

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

  Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.