Leon Guerrero Martin Dela Rosa Form 4 September 19, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287 January 31,

0.5

Expires:

2005 Estimated average

10% Owner

Other (specify

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Common

Stock

1. Name and Address of Reporting Person \* Leon Guerrero Martin Dela Rosa

(Zip)

(First) (Middle)

111 CHALAN SANTO PAPA

(Street)

(State)

09/19/2018

2. Issuer Name and Ticker or Trading Symbol

BankGuam Holding Co [BKGM]

3. Date of Earliest Transaction (Month/Day/Year) 09/19/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

below)

Issuer

X\_ Director

Officer (give title

6. Individual or Joint/Group Filing(Check Applicable Line)

5. Relationship of Reporting Person(s) to

(Check all applicable)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

HAGATNA, GU 96910

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Code (Instr. 8)

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(9-02)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

P 175 \$ 10 88,949

or

 $D^{(1)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Leon Guerrero Martin Dela Rosa - Form 4

| 1 | . Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                       | 5.        | <ol><li>Date Exer</li></ol> | cisable and | 7. Titl                 | e and      | 8. Price of | 9. Nu    |
|---|------------|-------------|---------------------|--------------------|--------------------------|-----------|-----------------------------|-------------|-------------------------|------------|-------------|----------|
| I | Derivative | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumbe         |           | Expiration Date             |             | Amount of<br>Underlying |            | Derivative  | Deriv    |
| 5 | Security   | or Exercise |                     | any                | Code of (Month/Day/Year) |           | /Year)                      | Security    |                         |            | Secui       |          |
| ( | Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative    |           |                             | Securities  |                         | (Instr. 5) | Bene        |          |
|   |            | Derivative  |                     |                    |                          | Securit   | Securities                  |             |                         | 3 and 4)   |             | Owne     |
|   |            | Security    |                     |                    |                          | Acquired  |                             |             | `                       | ĺ          |             | Follo    |
|   |            | J           |                     |                    |                          | (A) or    |                             |             |                         |            |             | Repo     |
|   |            |             |                     |                    |                          | Dispose   | ed                          |             |                         |            |             | Trans    |
|   |            |             |                     |                    |                          | of (D)    |                             |             |                         |            |             | (Instr   |
|   |            |             |                     |                    | (Instr. 3,               |           |                             |             |                         |            |             | <b>(</b> |
|   |            |             |                     |                    |                          | 4, and 5) |                             |             |                         |            |             |          |
|   |            |             |                     |                    |                          | ,         | ,                           |             |                         |            |             |          |
|   |            |             |                     |                    | Code V                   | V (A) (I  |                             | Expiration  | Title                   | Amount     |             |          |
|   |            |             |                     |                    |                          |           | Exercisable                 | Date        |                         | or         |             |          |
|   |            |             |                     |                    |                          |           |                             |             |                         | Number     |             |          |
|   |            |             |                     |                    |                          |           |                             |             |                         | of         |             |          |
|   |            |             |                     |                    |                          |           |                             |             |                         | Shares     |             |          |

# **Reporting Owners**

Leon Guerrero Martin Dela Rosa 111 CHALAN SANTO PAPA X HAGATNA, GU 96910

## **Signatures**

/s/ Lorraine P Guzman, attorney-in-fact for Martin D Leon
Guerrero

09/20/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 88,949 shares are subject to a certain Voting Trust Agreement dated November 29, 2013 of which Ms. Lourdes Leon Guerrero is the Trustee

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2