#### Edgar Filing: Leon Guerrero Maria Eugenia Herrero - Form 3

Leon Guerrero Maria Eugenia Herrero Form 3 March 06, 2019

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BankGuam Holding Co [BKGM] À Leon Guerrero Maria Eugenia (Month/Day/Year) 02/25/2019 Herrero (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 111 CHALAN SANTO PAPA (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_\_ Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person HAGATNA, GUÂ 96910 (give title below) (specify below) Form filed by More than One EVP/Retail and Card Services Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 5,748 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $\textbf{Table II - Derivative Securities Beneficially Owned (\textit{e.g.}, \textbf{puts}, \textbf{calls}, \textbf{warrants}, \textbf{options}, \textbf{convertible securities})}$ 

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	<ol><li>Nature of Indirect</li></ol>
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

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Date Expiration Amount or or Indirect Exercisable Date Number of (I) Shares (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Leon Guerrero Maria Eugenia Herrero 111 CHALAN SANTO PAPA HAGATNA, GUÂ 96910

X Â ÊVP/Retail and Card Services

## **Signatures**

/s/Tina Renee A Sablan, attorney-in-fact for Mrs. Maria Eugenia Leon
Guerrero

03/06/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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