21ST CENTURY HOLDING CO

Form 4

February 19, 2003

Form 4

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION** Washington, DC 20549

OMB Number: 3235-0287

Expires: January 31, 2005

[] Check box if no longer subject to Section 16. Form 4 or Form 5

obligations may

continue. See instructions 1(b).

Common

Stock

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Estimated average

OMB APPROVAL

burden hours per response. . .

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public 0.5Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Lawson, Michele V.						nd Ticker or 1 ding Compa		6. Relati	6. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle) 4161 NW 5 Street			Identification Number of			4. Stateme Month/Day 02/18/200	y/Yea		Owner _X_ Offii (specify	(Check all applicable) _X_ Director			
(Street) Plantation, Florida 33317					5. If Amen Original (N		,	r) (Check / _X_ For For					
(City	y) (State)	(Zip)	Та	able I -	Nor	-Derivative	e Se		Acquired, Di	sposed of, or E	Beneficially		
. Title of ecurity nstr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code		4. Securities Acquired (A) So or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	٧	Amount	(A) or (D)	Price	Reported Transactions (Instr. 3 and 4		(1115(1.4)		
ommon tock	02/18/2003			S (1)		1,000	D	9.74					
ommon tock	02/18/2003			S (1)		1,000	D	9.77	540,0	89 D			
ommon									540.0		By Spouse,		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over) SEC 1474 (9-02)

Edward J

Lawson

540,089

ı

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned FORM 4 (continued) (e.g., puts, calls, warrants, options, convertible securities)

^{*} If the form is filed by more than one reporting person, see Instructions 4(b)(v).

Edgar Filing: 21ST CENTURY HOLDING CO - Form 4

2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/Year)	4. Transa Code (Instr. 8		of Deriv	vative urities uired or osed o) r.3,4	6. Date Exercisable and Expiration D (Month/Day/Yea	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	
			Code	٧	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
							06/04/2003 (2)	06/04/2008	Common Stock	100,000	12.50	100,000	1
							06/04/2003 (2)	06/04/2008	Common Stock	50,000	12.50	50,000	D
							06/05/2002 (3)	06/05/2011	Common Stock	3,000	10.00	3,000	ı
							06/05/2002 (3)	06/05/2011	Common Stock	3,000	10.00	3,000	D
							06/06/2001 (4)	06/06/2010	Common Stock	3,000	10.00	3,000	ı
							06/06/2001 (4)	06/06/2010	Common Stock	3,000	10.00	3,000	D
							09/16/1999 (5)	09/16/2008	Common Stock	16,000	10.00	16,000	ı
							09/16/1999 (5)	09/16/2008	Common Stock	10,000	10.00	10,000	D

Explanation of Responses:

- 1. This proposed sale is pursuant to a previously adopted plan dated 12/30/2002 intended to comply with Rule 10B5-1C.
- 2. The options vest 20% per year beginning June 4, 2003.
- 3. The options vest 25% per year beginning June 5, 2002.
- 4. The options vest 25% per year beginning June 6, 2001.
- 5. The options vest 25% per year beginning September 16, 1999.

	Intentional misstatements or omissions of facts constitute Federal Criminal Violations.	/s/ Michele V. Lawson	02/18/2003	
	See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).	**Signature of Reporting Person Michele V. Lawson	Date	

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Page 2