Jennings James Gordon III Form 4 December 15, 2004

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Jennings James Gordon III

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

21ST CENTURY HOLDING CO

[TCHC]

3. Date of Earliest Transaction Director

X\_ Officer (give title below) **CFO** 

10% Owner Other (specify below)

3661 WEST OAKLAND PARK BLVD., SUITE 300

(First)

(Middle)

(Zip)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

05/06/2004

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### LAUDERDALE LAKES, FL 33311

(State)

		Tab	16 1 - 11011-1	Derivative	Secui	incs Acquii	cu, Disposcu oi,	or Denemeran	y Owncu
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securit		quired (A)	5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)		any	Code	(Instr. 3, 4	4 and 5	5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)  Code V	Amount	(A) or (D)	Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock (1)	12/13/2004		S	15,000	D	\$ 13.1003	0	D	
Common Stock (1)	12/14/2004		S	1,000	D	\$ 13.05	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Jennings James Gordon III - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Sect (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of
Options (1)	\$ 20	05/06/2004		A(2)	30,000		05/06/2005(2)	05/06/2010	Common Stock	30
Options (1)	\$ 6.667	12/13/2004		X		15,000	01/02/2003(3)	01/02/2012	Common Stock	1:
Options (1)	\$ 9.167	12/14/2004		X		1,000	06/04/2003(4)	06/04/2008	Common Stock	1

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

**CFO** 

Jennings James Gordon III

3661 WEST OAKLAND PARK BLVD.

SUITE 300

LAUDERDALE LAKES, FL 33311

# **Signatures**

/s/ James Gordon Jennings, III

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All share totals, option totals and options prices have been adjusted for the issuers 3-for-2 stock split in September 2004.
- (2) Options vest 20% per year beginning on 05/06/2005. Options granted were inadvertently not reported due to error.
- (3) Options vest 25% per year beginning 01/02/2003.
- (4) Options vest 20% per year beginning on 06/04/2003.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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