Edgar Filing: ADESTO TECHNOLOGIES Corp - Form 4

ADESTO T Form 4 March 14, 2	ECHNOLOGIES	S Corp										
	ЛЛ								OMB A	PPROVA	L	
FORM 4 UNITED STATES SE				SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					OMB Number:	3235-	0287	
Check th if no lon								Expires:	Januar	-		
subject t	MENT OF	F CHANGES IN BENEFICIAL OWNERSHIP OF					Estimated average					
Section 16.				SECURITIES					burden hou	burden hours per		
Form 4 o Form 5		report to S	Section 1	6(a) of th	e Securi	ties F	Tychar	nge Act of 1934,	response		0.5	
obligatio	ons Section 17							of 1935 or Sectio	on			
may con <i>See</i> Instr	iunue.			vestment	•	-	•					
1(b).												
(Print or Type	Responses)											
SHELTON DON								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			ADESTO TECHNOLOGIES Corp [IOTS]									
(Last)	(First)	(Middle)	3. Date of	f Earliest T	ransaction			Director	10%	6 Owner		
		area		Month/Day/Year)			X_ Officer (give title Other (specify below) below)					
	TO TECHNOLO		03/10/2	2017				Chief	Financial Offic	er		
WAY	110N, 50001E	IERSON										
(Street) 4. If A			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check					
				iled(Month/Day/Year)				Applicable Line)				
								X Form filed by	One Reporting Pe More than One Re			
SANTA CI	LARA, CA 95054	4						Person		epotting		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secu	rities A	cquired, Disposed o	of, or Beneficial	lly Owned	ł	
1.Title of	2. Transaction Date (Month/Day/Year)			3.	4. Securit				6. Ownership		of	
Security (Instr. 3)	(Wonui/Day/Tear)	Execution any	Date, II					Form: Direct (D) or Indirect	Indirect Beneficia	1		
		(Month/Da	· · ·			5)		(I) (I)	Ownershi	ip		
								Following Reported	(Instr. 4)	(Instr. 4)		
						(A) or		Transaction(s)				
				Code V	Amount		Price	(Instr. 3 and 4)				
Reminder: Rej	port on a separate lin	e for each cla	ass of sec	urities benef	ficially ow	ned di	rectly c	or indirectly.				
					D					DO 1474		

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 3.55	03/10/2017		A	68,681	<u>(1)</u>	03/09/2027	Common Stock	68,681	

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Reporting Owners

Reporting Owner Name / Address			Relationships					
ForBoo	Director	10% Owner	Officer	Other				
SHELTON RON C/O ADESTO TECHNOLOGIES CORPORATION 3600 PETERSON WAY SANTA CLARA, CA 95054				Chief Financial Officer				
Signatures								
/s/ Ron Shelton	03/14/2017							

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option vests and becomes exercisable in 48 equal monthly installments beginning on May 1, 2017 until such time as the option is 100% vested, subject to the continuing employment of the Reporting Person on each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.