XCEL ENERGY INC Form 4

November 03, 2005

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number: Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BRUNETTI WAYNE H** 

2. Issuer Name and Ticker or Trading Symbol

XCEL ENERGY INC [XEL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

\_X\_\_ Director

10% Owner

800 NICOLLET MALL

(Month/Day/Year)

X\_ Officer (give title below)

Other (specify below)

11/01/2005

Chairman

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MINNEAPOLIS, MN 55402

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 5. Amount of

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) or Code V Amount (D) Price

(Instr. 3 and 4)

Common Stock

314,076.65 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: XCEL ENERGY INC - Form 4

| 1. Title of      | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                        | 5. Number     | 6. I       | Date Exerc       | cisable and        | 7. Title and A        | Amount of                    | 8. Pric |
|------------------|-------------|---------------------|--------------------|---------------------------|---------------|------------|------------------|--------------------|-----------------------|------------------------------|---------|
| Derivative       | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction of Derivative |               | ve Exp     | Expiration Date  |                    | Underlying Securities |                              | Deriva  |
| Security         | or Exercise |                     | any                | Code Securities           |               | (Me        | (Month/Day/Year) |                    | (Instr. 3 and 4)      |                              | Securi  |
| (Instr. 3)       | Price of    |                     | (Month/Day/Year)   | (Instr. 8)                | ) Acquired    |            |                  |                    |                       |                              | (Instr. |
|                  | Derivative  |                     |                    |                           | (A) or        |            |                  |                    |                       |                              |         |
|                  | Security    |                     |                    |                           | Disposed o    | f          |                  |                    |                       |                              |         |
|                  |             |                     |                    | (D)                       |               |            |                  |                    |                       |                              |         |
|                  |             |                     |                    |                           | (Instr. 3, 4, |            |                  |                    |                       |                              |         |
|                  |             |                     |                    |                           | and 5)        |            |                  |                    |                       |                              |         |
|                  |             |                     |                    |                           |               | Dat<br>Exe | te<br>ercisable  | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of |         |
|                  |             |                     |                    | Code V                    | V (A) (I      | D)         |                  |                    |                       | Shares                       |         |
| Phantom<br>Stock | \$ 0        | 11/01/2005          |                    | A                         | 30.58         |            | (2)              | (2)                | Common<br>Stock       | 30.58                        | \$ 18   |

## **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |          |       |  |  |  |  |
|--|---------------|-----------|----------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer  | Other |  |  |  |  |
| BRUNETTI WAYNE H<br>800 NICOLLET MALL<br>MINNEAPOLIS, MN 55402 | X             |           | Chairman |       |  |  |  |  |

# **Signatures**

Carol J. Peterson, Attorney-in-Fact for Wayne H.
Brunetti

11/03/2005

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 3,694.01 shares of stock acquired pursuant to reinivestment of dividends.
- (2) Shares of phantom stock are payable in cash following termination of reporting person's employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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