#### NEWMONT MINING CORP /DE/

Form 4 June 03, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \*

Dorward-King Elaine J

2. Issuer Name and Ticker or Trading

Symbol

NEWMONT MINING CORP /DE/

[NEM]

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 05/31/2013

10% Owner Director

5. Relationship of Reporting Person(s) to

(Check all applicable)

**EVP Sustainability** 

X\_ Officer (give title below)

Issuer

Other (specify

6363 SOUTH FIDDLER'S GREEN **CIRCLE** 

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**GREENWOOD** VILLAGE, CO 80111

> (City) (State)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(Month/Day/Year)

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4.	5. ctionNumb			7. Title and Amount of Underlying Securities		8. Price of Derivative
Security	or Exercise	(Month/Day/Tear)	any	Code	of		/Day/Year)	(Instr. 3 and 4)		Security (Instr. 5)
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	S) Deriva Securi Acqui (A) or Dispos of (D) (Instr. 4, and	ties red sed 3,				
				Code	V (A) (	(D) Date Exercis	Expiration able Date	1 Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>	05/31/2013		A	10	<u>(2</u>	(2)	Common Stock	10	\$ 34.28

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dorward-King Elaine J

6363 SOUTH FIDDLER'S GREEN CIRCLE GREENWOOD VILLAGE, CO 80111

EVP Sustainability

## **Signatures**

Nancy Lipson, as attorney-in-fact for Elaine J.

Dorward-King

06/03/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Convertible on a 1-for-1 basis.
- (2) Shares of phantom stock are payable in cash following termination of the reporting person's employment with the Issuer or pursuant to elective distributions in accordance with the terms and conditions of the Savings Equalization Plan of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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