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PROTEON THERAPEUTICS INC Form 8-K November 02, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event Reported): November 2, 2015

Proteon Therapeutics, Inc.

(Exact Name of Registrant as Specified in Charter)

Delaware (State or Other Jurisdiction of Incorporation) 001-36694 (Commission File Number) 20-4580525 (I.R.S. Employer Identification Number)

200 West Street Waltham, MA 02451 (Address of Principal Executive Offices) (Zip Code) Registrant's telephone number, including area code: (781) 890-0102

> N/A (Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

[] Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

[] Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

[] Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

[] Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Introductory Comment

Throughout this Current Report on Form 8-K, the terms "we," "us," "our", "Company" and "Proteon" refer to Proteon Therapeutics, Inc.

Item 7.01. Regulation FD Disclosure.

On November 2, 2015, Proteon issued a press release announcing that the Company has completed enrollment of its first Phase 3 clinical study of investigational drug vonapanitase (formerly PRT-201). In addition, Proteon announced that the Company expects to report top-line data in December 2016. The Phase 3 study is evaluating the safety and efficacy of a single treatment of vonapanitase in patients with chronic kidney disease (CKD) undergoing surgical creation of a radiocephalic arteriovenous fistula (AVF) for hemodialysis. The press release is attached to this Current Report as Exhibit 99.1.

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The information in this Item 7.01, including Exhibit 99.1 attached hereto, in this Current Report on Form 8-K shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference into any registration statement or other document filed under the Securities Act of 1933, as amended, or the Exchange Act, except as otherwise expressly stated in such filing.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No.Description99.1Press Release, dated November 2, 2015, issued by Proteon Therapeutics, Inc.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 2, 2015

Proteon Therapeutics, Inc.

By: <u>/s/ GEORGE A. ELDRIDGE</u> George A. Eldridge Senior Vice President & Chief Financial Officer

EXHIBIT INDEX

Exhibit No.Description99.1Press Release, dated November 2, 2015, issued by Proteon Therapeutics, Inc.