#### Edgar Filing: BALLY TECHNOLOGIES, INC. - Form 3

BALLY TECHNOLOGIES, INC.

Form 3

November 27, 2006

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BALLY TECHNOLOGIES, INC. [BYI] FOLLMER WILLIAM (Month/Day/Year) 10/25/2006 **CURTISS** (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 749 MALLET ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting X\_ Officer Other Person (give title below) (specify below) VICKSBURG, MSÂ 39180 Form filed by More than One SVP & General Manager Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) BYI Common Stock \$0.10 par value per Â 2,620 D share BYI Common Stock \$0.10 par value per I 2,620 Owned by spouse share Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)		
Employee Stock Options (right to buy)	(1)	12/11/2011	BYI Common Stock, \$0.10 par value per share	10,000	\$ 13.75	D	Â	
Employee Stock Options (right to buy)	(1)	12/03/2012	BYI Common Stock, \$.10 par value per share	20,000	\$ 17.35	D	Â	
Employee Stock Options (right to buy)	(1)	08/12/2013	BYI Common Stock, \$10 par value per share	20,000	\$ 21.53	D	Â	
Employee Stock Options (right to buy)	(2)	08/17/2014	BYI Common Stock, \$0.10 par value per share	20,000	\$ 13.86	D	Â	
Employee Stock Options (right to buy)	(3)	08/24/2015	BYI Common Stock, \$0.10 par value per share	20,000	\$ 12.07	D	Â	
Employee Stock Options (right to buy)	(4)	10/25/2016	BYI Common Stock, \$0.10 par value per share	20,000	\$ 19.47	D	Â	

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

#### Edgar Filing: BALLY TECHNOLOGIES, INC. - Form 3

	Director	10% Owner	Officer	Other
FOLLMER WILLIAM CURTISS 749 MALLET ROAD VICKSBURG, MS 39180	Â	Â	SVP & General Manager	Â

### **Signatures**

Curt Follmer 11/27/2006

\*\*Signature of Person Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options are currently exercisable.
- (2) 13,333 of such options are currently exercisable. Remainder of options vest on 8/17/2007.
- (3) 6,666 of such options are currently exercisable. Remainder of options vest in 2 installments and become exercisable as follows: (i) 6,667 on 8/24/2007 and (ii) 6,667 on 8/24/2008.
- Options vest in 4 equal installments and become exercisable as follows: (i) 5,000 on 10/25/2007, (ii) 5,000 on 10/25/2008, (iii) 5,000 on 10/25/2009 and (iv) 5,000 on 10/25/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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