Edgar Filing: HADDRILL RICHARD M - Form 4

HADDRILL RICHARD M

Form 4

December 13, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading HADDRILL RICHARD M Symbol Issuer

5. Relationship of Reporting Person(s) to Issuer

Symbol

BALLY TECHNOLOGIES, INC.

(Check all applicable)

[BYI]

(Last) (First) (Middle)

(Street)

(State)

3. Date of Earliest Transaction (Month/Day/Year)

X Director _____ 10% Owner _X_ Officer (give title _____ Other (specify below) below)

6601 S. BERMUDA ROAD

12/11/2012

Chief Executive Officer

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

D

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LAS VEGAS, NV 89119

1.Title of Security (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)

(Zip)

3. 4. Securities Acquired
Transaction(A) or Disposed of
Code (D)
(Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Form: Direct Seneficially (D) or Owned Indirect (I) Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Common

per share

(City)

Stock, par value \$.10

Code V Amount (D)

V 30,000 D

Transaction(s)
(Instr. 3 and 4)

596,530

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(A)

SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4. T	5.	6. Date Exer		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		ionNumber	Expiration D		Amou		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	(Year)	Under	, ,	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(211512
					4, and 5)						
					4, and 3)						
									Amount		
						.	.		or		
						Date Exercisable	Expiration Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
r	Director	10% Owner	Officer	Other				
HADDRILL RICHARD M								
6601 S. BERMUDA ROAD	X		Chief Executive Officer					

Signatures

LAS VEGAS, NV 89119

Mark Lerner, attorney-in-fact for: Richard M. 12/13/2012 Haddrill

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Transfer made to a trust for the benefit of Mr. Haddrill's children. The reporting person does not act as a trustee and does not have any (1) investment control or any voting or pecuniary interest in the shares held in trust and therefore disclaims beneficial ownership for purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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