

ABIOMED INC
Form 4
May 30, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MINOGUE MICHAEL R

(Last) (First) (Middle)

**C/O ABIOMED, INC., 22
CHERRY HILL DRIVE**

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ABIOMED INC [ABMD]

3. Date of Earliest Transaction
(Month/Day/Year)
05/28/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President, CEO, Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|--|--|--|---|
| Common Stock \$.01 par value | 05/28/2014 | | A | 47,300 (6) | A \$ 0 218,211 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. D S (|
|---|---|---|---|---|---|--|---|-------------------|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares | |
| Stock Option (right to buy) ⁽¹⁾ | \$ 13.57 | | | | | 06/01/2007 06/01/2016 | Common Stock 246,383 | |
| Stock Option (right to buy) ⁽¹⁾ | \$ 11.27 | | | | | 05/30/2008 05/30/2017 | Common Stock 85,000 | |
| Stock Option (right to buy) ⁽¹⁾ | \$ 13.8 | | | | | 05/23/2009 ⁽²⁾ 05/23/2018 | Common Stock 43,333 | |
| Stock Option (right to buy) ⁽³⁾ | \$ 18.63 | | | | | 06/15/2009 ⁽⁴⁾ 08/13/2018 | Common Stock 93,750 | |
| Stock Option (right to buy) ⁽³⁾ | \$ 5.86 | | | | | 05/28/2010 ⁽²⁾ 05/28/2019 | Common Stock 109,500 | |
| Stock Option (right to buy) ⁽³⁾ | \$ 5.86 | | | | | 05/28/2010 ⁽²⁾ 05/28/2019 | Common Stock 108,800 | |
| Stock Option (right to buy) ⁽³⁾ | \$ 5.86 | | | | | 03/31/2010 ⁽²⁾ 05/28/2019 | Common Stock 130,500 | |
| Stock Option (right to buy) ⁽³⁾ | \$ 10.03 | | | | | 06/03/2011 ⁽²⁾ 06/03/2020 | Common Stock 105,000 | |
| Stock Option | \$ 22.44 | | | | | 05/22/2013 ⁽²⁾ 05/22/2022 | Common Stock 100,000 | |

(Right to
Buy) ⁽³⁾

Stock

Option \$ 23.15
(Right to
Buy) ⁽³⁾

05/14/2014⁽²⁾ 05/14/2024

Common
stock 85,000

Stock

Option \$ 21.55
(right to
buy) ⁽³⁾

05/14/2015⁽⁵⁾ 05/14/2024

Common
Stock 85,000

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MINOGUE MICHAEL R C/O ABIOMED, INC., 22 CHERRY HILL DRIVE DANVERS, MA 01923 | X | | President, CEO, Chairman | |

Signatures

/s/ Stephen C. McEvoy (by power of
attorney)

05/30/2014

^{__}Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 2000 Stock Incentive Plan.

(2) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.

(3) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.

(4) These options become exercisable based on the achievement of certain performance milestones.

(5) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.

(6) These restricted stock units will vest in 50% increments, the first 50% on May 14, 2015 and the remaining 50% on May 15, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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