#### **COMFORT SYSTEMS USA INC**

Form 4 March 25, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

3235-0287 Number: January 31, Expires:

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Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Shaeff Julie

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

COMFORT SYSTEMS USA INC

(Middle)

[FIX]

(Check all applicable)

Chief Accounting Officer

3. Date of Earliest Transaction

(Month/Day/Year) 03/23/2016

Director 10% Owner X\_ Officer (give title Other (specify

C/O COMFORT SYSTEMS USA, INC., 675 BERING DR. SUITE 400

(Street)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77057

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                                       |                              |              |  |  |   |  |  |
|--------------------------------------|---|--|--|---------------------------------------|------------------------------|--------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 03/23/2016                              |  | A                                      | 2,000<br>(1)                          | A                            | \$ 0         | 39,065   | D  |   |  |  |
| Common<br>Stock                      | 03/23/2016                              |  | A                                      | 4,460<br>(2)                          | A                            | \$ 0         | 43,525   | D  |   |  |  |
| Common<br>Stock                      | 03/25/2016(3)                           |  | F                                      | 1,200<br>(4)                          | D                            | \$ 30.36 (5) | 42,325   | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amour<br>Underlying Securit<br>(Instr. 3 and 4) |                                |
|---|---|---|---|--|---|--|-----------------|--|--------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable   | Expiration Date | Title  | Amo<br>or<br>Num<br>of<br>Shar |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 30.36  | 03/23/2016                              |   | A                                      | 5,920   | 04/01/2017(6)  | 03/23/2026(7)   | Common<br>Stock  | 5,9                            |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Shaeff Julie

C/O COMFORT SYSTEMS USA, INC. 675 BERING DR. SUITE 400 HOUSTON, TX 77057

Chief Accounting Officer

## **Signatures**

/s/ Trent T. McKenna, by power of attorney

03/25/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit granted on March 23, 2016 represents a right to receive one share of common stock and will vest in equal installments over a three-year vesting schedule.
- Reflects dollar-denominated performance restricted stock units granted on March 20, 2013 that vested on March 23, 2016. These units vested as a result of the Company's 2013-2015 relative Total Shareholder Return and 2013-2015 Company average EPS the applicable performance metrics.

**(3)** 

Reporting Owners 2

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Forfeited shares are related to dollar-denominated performance restricted stock units that vested March 23, 2016 and were priced based on the closing price of the Company's common stock on March 23, 2016. Forfeitures were processed on March 25, 2016.

- (4) Reflects forfeited shares related to the vesting of dollar-denominated performance restricted stock units granted on March 20, 2013.
- (5) Price is based on the closing price of the Company's common stock on March 23, 2016.
- (6) Stock options vest in equal installments over a three-year vesting schedule.
- (7) Stock options will expire at the earlier of ten years from the date of grant or three months following termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.