### Edgar Filing: CASELLA WASTE SYSTEMS INC - Form 4

### CASELLA WASTE SYSTEMS INC

Form 4 June 30, 2016

# FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

if no longer subject to Section 16.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005

Section 16. Form 4 or Form 5 obligations SECURITIES

Estimated average burden hours per response... 0.5

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and A CASELLA	2. Issuer Name and Ticker or Trading Symbol CASELLA WASTE SYSTEMS INC [CWST]						5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
(Last)  25 GREENS CASELLA INC.	(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 06/29/2016						X Director 10% OwnerX Officer (give title Other (specify below)  Vice Chairman, Bd of Directors				
		4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
RUTLAND								Form filed by More than One Reporting Person				
(City)	(State)	Table	Table I - Non-Derivative Securities Acq					uired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	Execution Date, if		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or				5. Amount of Securities Form: Direct Indirect Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4)			
Class A Common Stock	06/29/2016			S $(1)$	V	Amount 5,806	(D)	Price \$ 7.564 (2)	738,349	D		
Class B Common									494,100	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474

(9-02)

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# displays a currently valid OMB control

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
		Derivative				Securities			(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						
						4, and 5)						
										Amaunt		
										Amount		
							Date	Expiration		Or		
							Exercisable	Date		Number		
				C + V	(A) (D)				of			
					Code V	(A) (D)				Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

CASELLA DOUGLAS R 25 GREENS HILL LANE C/O CASELLA WASTE SYSTEMS, INC. RUTLAND, VT 05702

X

Vice Chairman, Bd of Directors

## **Signatures**

/s/ Douglas R.

Casella 06/30/2016 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the automatic sale of shares of stock for tax withholding purposes pursuant to a Rule 10b5-1 trading plan adopted by the **(1)** reporting person on March 15, 2016, in connection with the vesting of RSUs on June 24 and 25, 2016.
- Represents the weighted average sales price for shares sold in multiple transactions. Upon request of the staff of the Securities and (2) Exchange Commission, the issuer or a security holder of such issuer, the reporting person will provide full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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