Green Marvin T. III Form 3 March 06, 2018

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement KMG CHEMICALS INC [KMG] A Green Marvin T. III (Month/Day/Year) 03/06/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 300 THROCKMORTON ST., (Check all applicable) STE. 1900 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Chief Financial Officer Person FORT WORTH, TXÂ 76102 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â **COMMON STOCK** 6,874 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 6. Nature of Securities Underlying Indirect Beneficial (Instr. 4) **Expiration Date** Conversion Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
TIME BASED STOCK AWARD	07/31/2018	07/31/2018	COMMON STOCK	1,000 (2)	\$ 0	D	Â
TIME BASED STOCK AWARD	07/31/2018	07/31/2018	COMMON STOCK	375 <u>(2)</u>	\$ 0	D	Â
TIME BASED STOCK AWARD	07/31/2019	07/31/2019	COMMON STOCK	280 (2)	\$ 0	D	Â
TIME BASED STOCK AWARD	07/31/2019	07/31/2019	COMMON STOCK	1,000 (2)	\$ 0	D	Â
TIME BASED STOCK AWARD	07/31/2020	07/31/2020	COMMON STOCK	300 (2)	\$ 0	D	Â
PERFORMANCE BASED STOCK AWARD	07/31/2018	07/31/2018	COMMON STOCK	375 (1)	\$ 0	D	Â
PERFORMANCE BASED STOCK AWARD	07/31/2019	07/31/2019	COMMON STOCK	280 (1)	\$ 0	D	Â
PERFORMANCE BASED STOCK AWARD	07/31/2020	07/31/2020	COMMON STOCK	300 (1)	\$ 0	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Green Marvin T. III 300 THROCKMORTON ST., STE. 1900 FORT WORTH, TX 76102	Â	Â	Chief Financial Officer	Â	

### **Signatures**

Roger C Jackson POA from Marvin T Green, III 03/06/2018

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These are performance based restricted stock units. Vesting of common stock is performance based over a measurement period ended on the above expiration date. Vested shares are issued within approximately 2.5 months after vesting.
- (2) These are time based restricted stock units. Vesting of common stock is based on the avove expiration date. Vested shares are issued within 2.5 months of vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.