

Cashen Susan
Form 4
November 19, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Cashen Susan

2. Issuer Name and Ticker or Trading Symbol
CONTROL4 CORP [CTRL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

C/O CONTROL4 CORPORATION, 11734 S. ELECTION ROAD

11/15/2018

SVP of Marketing

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

SALT LAKE CITY, UT 84020

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	11/15/2018		M	1,417 A	1,417	D	
Common Stock	11/15/2018		F	703 ⁽²⁾ D	\$ 22.21 714	D	
Common Stock	11/15/2018		M	1,233 A	1,947	D	
Common Stock	11/15/2018		F	612 ⁽²⁾ D	\$ 22.21 1,335	D	
Common Stock	11/15/2018		M	1,417 A	2,752	D	

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Common Stock	11/15/2018	F	703 ⁽²⁾	D	\$ 22.21	2,049	D	
Common Stock	11/15/2018	M	1,233	A	<u>(1)</u>	3,282	D	
Common Stock	11/15/2018	F	612 ⁽²⁾	D	\$ 22.21	2,670	D	
Common Stock	11/16/2018	M	5,022	A	\$ 4.89	7,692	D	
Common Stock	11/16/2018	S	5,022	D	\$ 22.48 ⁽⁹⁾	2,670	D	
Common Stock						757	I	By 401(k) Plan ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Performance-based Restricted Stock Units	<u>(1)</u>	11/15/2018		M	1,417	<u>(4)</u> <u>(4)</u>	Common Stock
Performance-based Restricted Stock Units	<u>(1)</u>	11/15/2018		M	1,233	<u>(5)</u> <u>(5)</u>	Common Stock
Restricted Stock Units	<u>(1)</u>	11/15/2018		M	1,417	<u>(6)</u> <u>(6)</u>	Common Stock
Restricted Stock Units	<u>(1)</u>	11/15/2018		M	1,233	<u>(7)</u> <u>(7)</u>	Common Stock

