### Edgar Filing: RED ROBIN GOURMET BURGERS INC - Form 4/A

#### RED ROBIN GOURMET BURGERS INC

Form 4/A

September 02, 2005

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287 January 31, Expires:

2005

0.5

**OMB APPROVAL** 

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obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* MCCLOSKEY JAMES P

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

RED ROBIN GOURMET BURGERS INC [RRGB]

(Check all applicable)

6312 S. FIDDLER'S GREEN

(First)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director X\_ Officer (give title below)

10% Owner Other (specify

CIRCLE, SUITE 200 NORTH

(Middle)

08/12/2005

Senior Vice President

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person 08/16/2005 Form filed by More than One Reporting

**GREENWOOD** VILLAGE, CO 80111

> (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

								- ,	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock (1)	08/12/2005		M	12,500	A	\$ 14.98	108,199	D	
Common Stock (1)	08/12/2005		M	5,625	A	\$ 26.81	113,824	D	
Common Stock (1)	08/12/2005		M	1,458	A	\$ 27.2	115,282	D	
Common Stock							5,709	I	Claire C. McCloskey Trust

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Common Stock	5,709	I	James P. McCloskey Jr. Trust
Common Stock	4,209	I	Megan L. McCloskey Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Otion (Right to Buy) (2)	\$ 14.98	08/12/2005		M		12,500	07/29/2005	01/29/2013	Common Stock	12,500
Employee Stock Otion (Right to Buy) (2)	\$ 26.81	08/12/2005		M		5,625	07/28/2005	01/28/2014	Common Stock	5,625
Employee Stock Option (Right to Buy) (2)	\$ 27.2	08/12/2005		M		1,458	08/02/2005	06/02/2014	Common Stock	1,458

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				

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MCCLOSKEY JAMES P 6312 S. FIDDLER'S GREEN CIRCLE SUITE 200 NORTH GREENWOOD VILLAGE, CO 80111

Senior Vice President

# **Signatures**

John W. Grant , Attorney In Fact

08/31/2005

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This report amends the report filed on August 16, 2005, which incorrectly reported these transactions as open market or private purchases of the issuer's Common Stock. These transactions involved the acquisition of shares of the issuer's Common Stock pursuant to the

- (1) exercise of stock options by the reporting person. This amended report also corrects information in the prior report as to the amount of securities beneficially owned by the reporting person following these transactions (including information inadvertently omitted with respect to indirectly owned securities) and reflects that the reporting person is no longer subject to Section 16 pursuant to his resignation as the issuer's Senior Vice President on August 10, 2005.
- (2) See note 1 above
- This option covered 20,000 shares of the issuer's Common Stock, of which 7,500 shares were unvested at the time of the reporting person's resignation and terminated in accordance with the terms of the option.
- (4) This option covered 15,000 shares of the issuer's Common Stock, of which 9,375 shares were unvested at the time of the reporting person's resignation and terminated in accordance with the terms of the option.
- (5) This option covered 5,000 shares of the issuer's Common Stock, of which 3,542 shares were unvested at the time of the reporting person's resignation and terminated in accordance with the terms of the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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