Edgar Filing: Strauss Mark F. - Form 4

Strauss Mark F. Form 4 March 01, 2010									
								OMB A	PPROVAL
FORM 4	UNITED	STATES				ND EXCHANGE COMMISSION D.C. 20549			3235-0287
Check this be if no longer subject to Section 16. Form 4 or Form 5 obligations may continue See Instructio	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940					Estimated burden hou response	Estimated average burden hours per response 0.5		
1(b).)11	()			- -	.,			
(Print or Type Resp	oonses)								
1. Name and Address of Reporting Person <u>*</u> Strauss Mark F.			2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
			American Water Works Company, Inc. [AWK]				(Check all applicable)		
(Last) (First) (Middle) 1025 LAUREL OAK ROAD			3. Date of Earliest Transaction (Month/Day/Year) 02/25/2010			Director 10% Owner X_ Officer (give title Other (specify below) below) President, AWE			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
VOORHEES, N	NJ 08043						Form filed by Person	More than One Ro	eporting
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned
	Transaction Date onth/Day/Year)	any Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or			(A) or of (D) and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D) Price	· /		
Reminder: Report o	on a separate line	for each cl	ass of sec	urities bene	Perso inform requir	ns who res nation cont ed to resp ys a curre	or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	Derivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Performance Stock Unit	<u>(1)</u>	02/25/2010	02/25/2010	А	3,065	<u>(1)</u>	12/31/2012	Common Stock	3,065
Employee Stock Option (right to buy)	\$ 22.31	02/25/2010	02/25/2010	А	17,422	(2)	12/31/2016	Common Stock	17,42

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Strauss Mark F. 1025 LAUREL OAK ROAD VOORHEES, NJ 08043			President, AWE				
Signatures							
/s/ Karen K. Pettiford-Wilson b filed	03/01/2010						

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

1. Each performance stock unit represents a contingent right to receive one share of American Water's (the "Company") common stock. The actual number of performance stock units that may be earned will be based on the Company's annual total stockholder return ("TSR")

- (1) relative to the performance of the other companies in the Dow Jones U.S. Utility Index over the three-year performance period beginning on January 1, 2010 and ending December 31, 2012. The number of performance stock units shown in column 5 is equal to the target number of performance stock units that may be earned.
- (2) 2. The options vest in three equal installments on January 1, 2011, 2012 and 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.