

SMITH MARK L
Form 3
February 08, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|---|---|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>SMITH MARK L</p> <p>(Last) (First) (Middle)</p> <p>C/O GEVO, INC.,345 INVERNESS DRIVE S., BUILDING C, SUITE 310</p> <p>(Street)</p> <p>ENGLEWOOD, CO 80112</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>02/08/2011</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Gevo, Inc. [GEVO]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Chief Financial Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|---|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
|------------------------------------|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|--|--|--|
| | Date Exercisable Expiration Date | Title Amount or Number of | | | |

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| | | | | Shares | | or Indirect (I) (Instr. 5) | |
|---|-------|------------|--------------|---------|----------|----------------------------------|---|
| Non-Qualified Stock Option (right to buy) | Â (1) | 12/04/2018 | Common Stock | 125,000 | \$ 1.16 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 11/16/2019 | Common Stock | 15,000 | \$ 2.7 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (3) | 06/03/2020 | Common Stock | 19,500 | \$ 10.07 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| SMITH MARK L C/O GEVO, INC., 345 INVERNESS DRIVE S. BUILDING C, SUITE 310 ENGLEWOOD, CO 80112 | Â | Â | Â Chief Financial Officer | Â |

Signatures

/s/ Brett Lund,
Attorney-in-Fact

02/08/2011

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This option was granted on December 4, 2008 and is exercisable as the option vests. This option vests over four years commencing on
(1) November 5, 2008, with 25% of the shares subject to the option vesting on November 5, 2009 and the remaining shares subject to the option vesting in equal monthly installments thereafter.

This option was granted on November 16, 2009 and is exercisable as the option vests. This option vests over four years commencing on
(2) November 5, 2008, with 25% of the shares subject to the option vesting on November 5, 2009 and the remaining shares subject to the option vesting in equal monthly installments thereafter.

This option was granted on June 3, 2010 and is exercisable as the option vests. This option vests over four years commencing on
(3) November 5, 2008, with 25% of the shares subject to the option vesting on November 5, 2009 and the remaining shares subject to the option vesting in equal monthly installments thereafter.

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Remarks:

Exhibit 24.1 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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