Rowe Nick Orlando Form 4 November 10, 2011

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Rowe Nick Orlando

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

American Water Works Company, Inc. [AWK]

Director

(Check all applicable)

SVP, Central Division

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year) 11/08/2011

X\_ Officer (give title below)

10% Owner \_ Other (specify

1025 LAUREL OAK ROAD

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

VOORHEES, NJ 08043

| (City)                               | (State)                                 | (Zip) Tabl  | le I - Non-I                            | Derivative S  | Securi | ities Acqu   | ired, Disposed of  | f, or Beneficial  | ly Owned |
|--------------------------------------|---|---|---|---|--------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |   |   | Code V                                  | Amount  | (D)    | Price  | (Instr. 3 and 4)   |   |          |
| Common<br>Stock                      | 11/08/2011                              | 11/08/2011  | M                                       | 2,626   | A      | \$ 21.5  | 7,603  | D   |          |
| Common<br>Stock                      | 11/08/2011                              | 11/08/2011  | M                                       | 2,866   | A      | \$ 21.5  | 10,469   | D   |          |
| Common<br>Stock                      | 11/08/2011                              | 11/08/2011  | M                                       | 6,846   | A      | \$ 20.7  | 17,315   | D   |          |
| Common<br>Stock                      | 11/08/2011                              | 11/08/2011  | M                                       | 2,948   | A      | \$<br>22.31  | 20,263   | D   |          |
| Common<br>Stock                      | 11/08/2011                              | 11/08/2011  | S                                       | 15,286  | D      | \$<br>31.16  | 4,977  | D   |          |

#### Edgar Filing: Rowe Nick Orlando - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|---|---------|--|--------------------|---|--|
|   |   |                                      |   | Code V  | (A) (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 21.5   | 11/08/2011                           | 11/08/2011  | M   | 2,626   | <u>(1)</u>   | 12/31/2013         | Common<br>Stock   | 2,626                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 21.5   | 11/08/2011                           | 11/08/2011  | M   | 2,866   | (2)  | 12/31/2014         | Common<br>Stock   | 2,866                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 20.7   | 11/08/2011                           | 11/08/2011  | M   | 6,846   | (3)  | 12/31/2015         | Common<br>Stock   | 6,846                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 22.31  | 11/08/2011                           | 11/08/2011  | M   | 2,948   | <u>(4)</u>   | 12/31/2016         | Common<br>Stock   | 2,948                                  |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

SVP, Central Division

Reporting Owners 2

Rowe Nick Orlando 1025 LAUREL OAK ROAD VOORHEES, NJ 08043

### **Signatures**

/s/ Karen K. Pettiford-Wilson by Power of Attorney previously filed

11/10/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Option Award granted on April 22, 2008, representing a right to purchase a total of 5,252 shares at \$21.50, became exercisable on January 1, 2010.
- (2) The Option Award granted on April 22, 2008, representing a right to purchase a total of 5,733 shares at \$21.50, became exercisable on January 1, 2011.
- (3) The Option Award granted on February 20, 2009, representing a right to purchase a total of 20,540 shares at \$20.70, one-third of which vested on January 1, 2010 and one-third of which vested on January 1, 2011.
- (4) The Option Award granted on February 25, 2010, representing a right to purchase a total of 17,691 shares at \$22.31, one-third of which vested on January 1, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3