#### Edgar Filing: PERRY ELLIS INTERNATIONAL INC - Form 4

#### PERRY ELLIS INTERNATIONAL INC

Form 4 May 02, 2013

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer

January 31, Expires: 2005

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Shade Cory

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

PERRY ELLIS INTERNATIONAL

INC [PERY]

3. Date of Earliest Transaction

(Check all applicable)

(Last) (First) (Middle)

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

3000 N.W. 107TH AVENUE

04/30/2013

SVP, GC and Asst. Secretary

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

**MIAMI, FL 33172** 

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

Code (Instr. 8)

3.

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

7. Nature of Ownership Form: Direct (D) or Indirect

(Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4)

CommonStock 04/30/2013 Code V Amount (D) Price 8,964 \$0 A

16,719 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: PERRY ELLIS INTERNATIONAL INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DrNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Yeare	6. Date Exercisable and Expiration Date Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 4.63					03/18/2010	03/17/2019	Common Stock	3,079	
Stock Appreciation Right	\$ 18.19					03/19/2013(2)	03/18/2019	Common Stock	4,363	
Stock Appreciation Right	\$ 28.38					04/11/2012(3)	04/10/2018	Common Stock	2,817	
Stock Appreciation Right	\$ 24.93					04/19/2011	04/18/2017	Common Stock	3,438	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Shade Cory

3000 N.W. 107TH AVENUE SVP, GC and Asst. Secretary

**MIAMI, FL 33172** 

# **Signatures**

/s/ Cory Shade 05/02/2013

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes (i) 4,482 shares of restricted stock, which vest in three equal annual installments commencing on April 30, 2014; and (ii) (A) 1,586 shares of restricted stock granted in April 2011, (B) 2,474 shares of restricted stock granted in March 2012, and (C) 4,482 shares of restricted stock granted in April 2013, which in all cases vest up to 100%; provided, that, certain performance criteria has been achieved as of the last day of fiscal 2014, fiscal 2015 and fiscal 2016, respectively, and that the Reporting Person is still an employee of the Company on such dates. With respect to the grants set for in (ii)(A) through (C), the Reporting Person may be entitled to additional restricted stock in the event the Company exceeds the performance goals.

Reporting Owners 2

### Edgar Filing: PERRY ELLIS INTERNATIONAL INC - Form 4

- (2) Of the shares subject to the stock appreciation right, 1,454 are fully vested. The remainder of the shares shall vest and become exercisable as follows: 1,454 shares on March 19, 2014; and 1,455 shares on March 19, 2015.
- (3) Of the shares subject to the stock appreciation right, 1,878 shares are fully vested. The remaining 939 shares shall vest and become exercisable on April 11, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.