## Edgar Filing: BANK OF THE OZARKS INC - Form 4

BANK OF THE OZARKS INC Form 4 November 12, 2013 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **GLEASON GEORGE G II** Issuer Symbol BANK OF THE OZARKS INC (Check all applicable) [OZRK] (Middle) (Last) (First) 3. Date of Earliest Transaction \_X\_ Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 17901 CHENAL PARKWAY, P.O. 11/08/2013 Chairman & CEO 8811 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LITTLE ROCK, AR 72231-8811 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount Price (D) Common 11/08/2013 S D \$51.43 830,949 26,200 D Stock Common 11/11/2013 S 35,100 D 795,849 D 50.932 Stock Shares held Common 78,816 Ι Stock by Spouse Shares held Common in Trust for 2,400 Ι Stock Adult Child (1)

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Common Stock						1,285,6	00 I		Shares in Gle Trust		
Common Stock						939,580	) I		Shares in 401 11/08/	(k) at	
Common Stock						90,062	I		Shares by Tru which Gleaso wife a descer are benefi	ist of Mr. on, his nd	
Reminder: F	Report on a sep	parate line for each cla	ass of securities benef	ficially own	ned directly	or indirectly.					
				inform require	nation con ed to resp ys a curre	spond to the tained in this ond unless t ntly valid Ol	s form are the form	not	SEC 14 (9-(		
			ative Securities Acq puts, calls, warrants				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s I I		Amount of UnderlyingDeSee		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repo	rting O	wners									
			Re	elationship	S						
Reportin	og Owner Nan	ne / Address		P							

<b>Reporting Owner Name / Address</b>	Ketationships					
	Director	10% Owner	Officer	Other		
GLEASON GEORGE G II						
17901 CHENAL PARKWAY	х		Chairman & CEO			
P.O. 8811	Λ					
LITTLE ROCK, AR 72231-8811						

## Signatures

\*\*Signature of

Reporting Person

/s/ George G. Gleason

11/12/2013 Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

2,400 shares formerly owned by Mr. Gleason as custodian for one of his adult children were transferred to a trust for the benefit of theadult child, in which Mr. Gleason is not the trustee and has no sole or shared voting or dispositive power over the shares. This transaction is exempt from Section 16 of the Securities Exchange Act of 1934 pursuant to SEC Rule 16a-13.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.