APPLE INC Form 4 May 30, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

APPLE INC [AAPL]

(Month/Day/Year)

Filed(Month/Day/Year)

05/29/2014

3. Date of Earliest Transaction

4. If Amendment, Date Original

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Maestri Luca

(Middle) (First)

(Last)

1 INFINITE LOOP

(Street)

CUPERTINO, CA 95014

(City) (State)

1. Title of 2. Transaction Date 2A. Deemed Security (Instr. 3)

(Month/Day/Year)

(Zip)

Execution Date, if (Month/Day/Year)

Symbol

TransactionAcquired (A) or Code (Instr. 8)

3.

Disposed of (D)

4. Securities

(Instr. 3, 4 and 5)

Following

Reported (A) Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Issuer

below)

Person

5. Amount of

Securities

Owned

Beneficially

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Director

Applicable Line)

X_ Officer (give title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

(Instr. 3 and 4)

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3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

6. Ownership

Form: Direct

(D) or Indirect Beneficial

X Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

(Check all applicable)

below) Senior Vice President, CFO

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

Indirect

Estimated average

burden hours per

7. Title and Amount of 8. Pr **Underlying Securities** Deri Secu

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed (D) (Instr. 3, and 5) | l of | | | | (Inst |
|--------------------------|------------------------------------|------------|------------------|------------|--|-------------------------|--------------------|-----------------|--|-------|
| | | | | Code V | (A) | (D) Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Unit | (1) | 05/29/2014 | | A | 4,093 | (2) | (2) | Common Stock | 4,093 | \$ |
| Restricted Stock Unit | (1) | 05/29/2014 | | A | 1,137 | <u>(3)</u> | (3) | Common Stock | 1,137 | \$ |
| Restricted Stock Unit | (1) | 05/29/2014 | | A | 1,107 | <u>(4)</u> | <u>(4)</u> | Common Stock | 1,107 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
|--------------------------------|---------------|

Director 10% Owner Officer Other

Maestri Luca

1 INFINITE LOOP Senior Vice President, CFO

CUPERTINO, CA 95014

Signatures

/s/ Gene Levoff, Attorney-in-fact for Luca
Maestri

05/30/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (2) These restricted stock units are scheduled to vest in equal installments on each of May 29, 2016, May 29, 2017, and May 29, 2018.
- Vesting of these restricted stock units depends on Apple Inc.'s relative total shareholder return from May 29, 2014 through September 26, (3) 2015. Between 0% and 200% of the reported number of units may vest on October 1, 2015, with the percentage determined based on actual performance.
- Vesting of these restricted stock units depends on Apple Inc.'s relative total shareholder return from May 29, 2014 through September 24, (4) 2016. Between 0% and 200% of the reported number of units may vest on October 1, 2016, with the percentage determined based on actual performance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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