#### AMERICAN STATES WATER CO

Form 4 May 20, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Number: January 31, Expires: 2005

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**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Wilkins Janice F		ing Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol AMERICAN STATES WATER CO	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
			[AWR]				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X Director 10% Owner Officer (give title Other (specify below) below)			
20 HACIENDAS DR			05/19/2015	below)			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
WOODSIDE, CA 94062				Form filed by More than One Reporting			

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(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Sec	uritie	s Acquire	ed, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed 3. 4. Securities 2 Execution Date, if TransactionDisposed of ( any Code (Instr. 3, 4 and (Month/Day/Year) (Instr. 8)				d (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Shares	08/15/2014		A	6.5625 (1)	A	\$ 31.26	10,987.019	D	
Common Shares	09/02/2014		A	11.5876 (2)	A	\$ 32.26	10,998.6066	D	
Common Shares	11/14/2014		A	6.0322 (3)	A	\$ 34.24	11,004.6388	D	
Common Shares	02/17/2015		A	5.3771 <u>(4)</u>	A	\$ 38.65	11,010.0159	D	
Common Shares	05/15/2015		A	5.4448 <u>(5)</u>	A	\$ 38.38	11,015.4607	D	

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Common Shares 05/19/2015 A 1,420.0878 A \$ 12,435.5485 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title Amour		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						_			or		
						Date	Expiration		Number		
						Exercisable D	Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Wilkins Janice F
20 HACIENDAS DR X
WOODSIDE, CA 94062

**Signatures** 

/s/ Janice F. 05/20/2015 Wilkins

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 8/15/2014 as dividend at FMV
- (2) DER units credited on 9/2/2014 as dividend at FMV
- (3) DER units credited on 11/14/2014 as dividend at FMV

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- (4) DER units credited on 2/17/2015 as dividend at FMV
- (5) DER units credited on 5/15/2015 as dividend at FMV

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.