ALCOA INC. Form 8-K October 07, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE

SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): October 7, 2015 (October 6, 2015)

ALCOA INC.

(Exact name of Registrant as specified in its charter)

Pennsylvania (State or Other Jurisdiction 1-3610 (Commission 25-0317820 (I.R.S. Employer

of Incorporation)

File Number)

Identification Number)

390 Park Avenue, New York, New York

10022-4608

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(Address of Principal Executive Offices)

Office of Investor Relations 212-836-2674

(Zip Code)

Office of the Secretary 212-836-2732

(Registrant s telephone number, including area code)

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(b) On October 6, 2015, Alcoa Inc. (Alcoa) announced that Roy C. Harvey is appointed Executive Vice President Alcoa and Group President, Global Primary Products (GPP), succeeding Robert G. Wilt in that position, effective October 6, 2015.

Mr. Harvey, who joined Alcoa in 2002, has been Executive Vice President, Human Resources and Environment, Health, Safety and Sustainability of Alcoa since June 2014. Before that, he held a variety of operating and financial positions at Alcoa, including serving as Chief Operating Officer and Chief Financial Officer of GPP; Director, Investor Relations; and Director, Corporate Treasury.

A copy of Alcoa s press release announcing the management changes is attached hereto as Exhibit 99 and is incorporated herein by reference.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

The following is filed as an exhibit to this report:

Alcoa Inc. press release dated October 6, 2015.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALCOA INC.

By: /s/ AUDREY STRAUSS Name: Audrey Strauss Title: Executive Vice President, Chief Legal Officer

and Secretary

Date: October 7, 2015

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EXHIBIT INDEX

Exhibit No. Description

99 Alcoa Inc. press release dated October 6, 2015.

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