

NOMURA HOLDINGS INC  
Form 6-K  
September 09, 2016  
Table of Contents

## FORM 6-K

### U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of September 2016

## NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):



**Table of Contents**

Information furnished on this form:

**EXHIBIT**

**Exhibit Number**

1. (English Translation) Share Buyback Report for the reporting month from August 1, 2016 to August 31, 2016

**Table of Contents**

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**NOMURA HOLDINGS, INC.**

Date: September 9, 2016

By: /s/ Hajime Ikeda  
Hajime Ikeda  
Senior Managing Director

**Table of Contents**

*[Translation of Share Buyback Report for the reporting month from August 1, 2016 to August 31, 2016 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on September 9, 2016]*

**Class of Shares: Common Stock****1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors ( Board )

|  |                   | as of August 31, 2016         |
|--|-------------------|-------------------------------|
|  | Number of Shares  | Total Repurchase Amount (JPY) |
| Authorization pursuant to the resolution of the Board (July 28, 2016)<br>(Period of repurchase: from August 15, 2016 to January 27, 2017 (excluding the period between October 28, 2016 to November 11, 2016)) | 100,000,000       | 45,000,000,000                |
| Repurchases during this reporting month (Date of repurchase)   | (Date)            |                               |
|  | August 15         | 1,653,800                     |
|  | August 16         | 1,653,800                     |
|  | August 17         | 3,307,600                     |
|  | August 18         | 1,653,800                     |
|  | August 19         | 1,653,800                     |
|  | August 22         | 1,508,100                     |
|  | August 23         | 1,508,100                     |
|  | August 24         | 3,011,400                     |
|  | August 25         | 1,508,100                     |
|  | August 26         | 1,508,100                     |
|  | August 29         | 1,247,000                     |
|  | August 30         | 1,247,000                     |
|  | August 31         | 1,247,000                     |
| <b>Total</b>   | <b>22,707,600</b> | <b>10,427,931,220</b>         |
| Aggregate shares repurchased as of the end of this reporting month   | 22,707,600        | 10,427,931,220                |
| Progress of share repurchase (%)   | 22.7              | 23.2                          |

**Table of Contents****2. Status of disposition**

|   |   | as of August 31, 2016                    |                  |
|---|---|--|------------------|
|   | Number of shares disposed<br>in the reporting month | Aggregate amount of<br>disposition (JPY) |                  |
| Treasury shares disposed subject to procedures for issuance of new shares | (Date)  |  |                  |
| Subtotal  |   |  |                  |
| Treasury shares canceled  | (Date)  |  |                  |
| Subtotal  |   |  |                  |
| Treasury shares transferred upon merger, share exchange or demerger       | (Date)  |  |                  |
| Subtotal  |   |  |                  |
| Other (upon request for purchasing less-than-a-full-unit shares )         | (Date)  |  |                  |
|   | August 4  | 60                                       | 25,014           |
| Subtotal  |   | 60                                       | 25,014           |
| Other (exercise of stock acquisition rights)                              | (Date)  |  |                  |
|   | August 1  | 1,209,300                                | 1,209,300        |
|   | August 2  | 635,800                                  | 635,800          |
|   | August 3  | 103,400                                  | 103,400          |
|   | August 4  | 168,700                                  | 168,700          |
|   | August 5  | 17,000                                   | 611,000          |
|   | August 8  | 257,400                                  | 257,400          |
|   | August 9  | 171,100                                  | 171,100          |
|   | August 10   | 461,900                                  | 461,900          |
|   | August 12   | 38,600                                   | 2,419,600        |
|   | August 15   | 52,000                                   | 1,537,000        |
|   | August 16   | 68,900                                   | 68,900           |
|   | August 17   | 70,300                                   | 666,300          |
|   | August 18   | 141,800                                  | 141,800          |
|   | August 19   | 4,000                                    | 4,000            |
|   | August 22   | 334,300                                  | 334,300          |
|   | August 23   | 2,700                                    | 2,700            |
|   | August 24   | 23,800                                   | 23,800           |
|   | August 26   | 7,900                                    | 7,900            |
|   | August 31   | 7,300                                    | 7,300            |
| Subtotal  |   | 3,776,200                                | 8,832,200        |
| <b>Total</b>  |   | <b>3,776,260</b>                         | <b>8,857,214</b> |

**3. Status of shares held in treasury**

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

as of August 31, 2016

| As of the end of the reporting month | Number of Shares |
|--------------------------------------|------------------|
| Total number of issued shares        | 3,822,562,601    |
| Number of shares held in treasury    | 251,716,809      |