ATHERSYS, INC / NEW Form 8-K April 26, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d)

of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): April 24, 2018

Athersys, Inc.

(Exact name of registrant as specified in charter)

Delaware (State or other jurisdiction

001-33876 (Commission

20-4864095 (IRS Employer

of incorporation)

File Number)

Identification No.)

3201 Carnegie Avenue,

44115-2634

Edgar Filing: ATHERSYS, INC / NEW - Form 8-K

Cleveland, Ohio (Address of principal executive offices)

(Zip Code)

Registrant s telephone number, including area code: (216) 431-9900

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On April 24, 2018, Mr. Jordan S. Davis notified Athersys, Inc. (the Company) that he will not stand for reelection as a member of the Company s Board of Directors at the Company s 2018 annual stockholder meeting on June 18, 2018. Mr. Davis has been a member of the Audit Committee of the Board. Mr. Davis decision was not the result of any disagreement with the Company.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ATHERYS, INC.

By: /s/ Laura K. Campbell Name: Laura K. Campbell

Title: Senior Vice President of Finance

Date: April 26, 2018