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Dermira, Inc. Form 8-K May 03, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of report (date of earliest event reported): May 3, 2018

DERMIRA, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of

001-36668 (Commission

27-3267680 (I.R.S. Employer

incorporation or organization)

File Number)

Identification Number)

275 Middlefield Road, Suite 150

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Menlo Park, California (Address of Principal Executive Offices)

94025 (Zip Code)

Registrant s telephone number, including area code: (650) 421-7200

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2 below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 2.02 Results of Operations and Financial Condition.

On May 3, 2018, Dermira, Inc. (the Company) issued a press release announcing its financial results for the quarter ended March 31, 2018. The press release is being furnished as Exhibit 99.1.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(b) In connection with recent changes to the organizational structure of Dermira, Inc. (the Company) and the hiring of additional members of the Company s management team, the typical functions of a chief operating officer no longer aligned with the existing position. Accordingly, the Company s chief operating officer position was eliminated, effective as of May 3, 2018. Andrew L. Guggenhime will continue to serve as the Company s chief financial officer and a member of the Company s executive committee.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit

No. Description

99.1 Dermira Inc. Press Release dated May 3, 2018

The information furnished in this Current Report under Item 2.02 and the exhibit attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act), or incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such filing.

EXHIBIT INDEX

Exhibit

No. Description

99.1 <u>Dermira Inc. Press Release dated May 3, 2018</u>

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

DERMIRA, INC.

Date: May 3, 2018 By: /s/ Andrew L. Guggenhime

Name: Andrew L. Guggenhime Title: Chief Financial Officer