AMBARELLA INC Form 8-K November 29, 2018

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

November 29, 2018

Date of Report (date of earliest event reported)

### AMBARELLA, INC.

(Exact name of Registrant as specified in its charter)

Cayman Islands (State or other jurisdiction

**001-35667** (Commission

98-0459628 (I. R. S. Employer

of incorporation) File Number)

**Identification No.**)

## Edgar Filing: AMBARELLA INC - Form 8-K

### 3101 Jay Street

#### Santa Clara, CA 95054

(Address of principal executive offices)

Registrant s telephone number, including area code: (408) 734-8888

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

# **Item 2.02 Results of Operations and Financial Condition**

On November 29, 2018, Ambarella, Inc. issued a press release announcing its results for the third quarter of fiscal year 2019 ended October 31, 2018. A copy of the press release is attached as Exhibit 99.1 to this current report on Form 8-K and is incorporated by reference herein.

The information in this current report on Form 8-K and the exhibits attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ) or otherwise subject to the liabilities of that section, nor shall they be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, regardless of any general incorporation language in such filing.

#### **Item 9.01 Financial Statements and Exhibits**

(d) Exhibits

99.1 Press Release dated November 29, 2018

# **Signature**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: November 29, 2018 Ambarella, Inc.

/s/ Kevin C. Eichler Kevin C. Eichler

Chief Financial Officer