Edgar Filing: SMITH R SCOTT JR - Form 4

SMITH R SCOTT JR Form 4 February 10, 2003

# FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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OMB APPROVAL

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

<ol> <li>Name and Address of Reporting Person*</li> <li>Smith, Jr. R. Scott</li> </ol>						and Ticker ANCIAL (	Pe	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle) Fulton Financial Corporation One Penn Square				R.S. Ider eporting entity (	Pe		nber	4. Statement for Month/Day/Year February 10, 2003			<u>X</u> Director <u>10%</u> Owner <u>X</u> Officer (give title below) <u>Other (specify below)</u> <u>President and Chief Operating</u> Officer			
(Street) Lancaster, PA 17602								Date o	mendment, f Original h/Day/Year)	7. [ (Cl <u>X</u> ] Per	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (S	tate) (Zip)			Table	Ι	Non-Deri	vative	Securi	ities Acquired, E		· · · · ·			
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	2A. Deen Execution Date, if any (Month/Da Year)	ned 3. Trans- action Code (Instr. 8)			4. Securiti (A) or Dis (Instr. 3, 4 Amount	posed	of (D)	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
\$2.50 par value common stock	02/10/03			<b>J</b> <u>(1)</u>	V	10	A	18.35		11,554	D	Retirement Plan		
\$2.50 par value common stock										56,559	D			
\$2.50 par value common stock										15,750	I	Spouse		
\$2.50 par value common stock										599	Ι	Children		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

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2. Conver-	3	3A.	4	5		6. Date Exerc	isable	7 Tit	le and	8 Price of	9 Number of	10.	11. Nature
			'. Trans-	Nu								of Indirect	
					1								
								50			-	Beneficial	
Price of	Date	Date,	Code	De	Derivati@Alonth/Day/			Secu	rities	(Instr. 5)	Beneficially	Form	Ownership
Derivative		if any		Sec	uriti	e¥ear)		(Instr	. 3 & 4)		Owned	of Deriv-	(Instr. 4)
Security	(Month/	(Month/	(Instr.	Ac	quire	d					Following	ative	
	Day/	Day/	8)	(A)	or						Reported	Security:	
	Year)	Year)		Dis	Disposed						Transaction(s)	Direct	
				of (	f (D)						(Instr. 4)	(D)	
												or	
				(In	(Instr.							Indirect	
				3,4	3, 4 &						(I)		
				5)								(Instr. 4)	
			Code	Ζ(A`	(D)	Date	Expira-	Title	Amount				
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							Duit						
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	ion or Exercise Price of Derivative Security	ion or Trans- Exercise action Price of Date Derivative Security (Month/ Day/	ion or Trans- Deemed Exercise action Execution Price of Date Date, Derivative if any Security (Month/ (Month/ Day/ Day/ Year) Year)	ion or Trans- Deemed Trans- Exercise action Execution action Price of Date Date, Code Derivative if any Security (Month/ (Month/ (Instr. Day/ Day/ 8) Year) Year)	ion or Trans- Deemed Trans- Nur Exercise action Execution action of Price of Date Date, Code Der Derivative (Month/ (Month/ (Instr. Acc Day/ Day/ 8) (A) Year) Year) Dis of ( (Instr. 4, 4, 5)	ion or Trans- Deemed Trans- Number Exercise action Execution action of Date Date, Code Derivati Security (Month/ (Month/ (Instr. Acquire Day/ Day/ 8) (A) or Year) Year) (Instr. 3, 4 & 5) Code V (A) (D)	ion or Trans- Deemed Trans- Number and Expiration Exercise action Execution action of Date Price of Date Date, Code Derivati(Matonth/Day/ Derivative if any Securite Sear) Security (Month/ (Month/ (Instr. Acquired Day/ Day/ 8) (A) or Year) Year) Vear) Year) Code V (A) (D) Date Exer-cisable	ion or Trans- Deemed Trans- Number and Expiration Exercise action Execution action of Date Price of Date Date, Code Derivative Security (Month/ (Month/ (Instr. Acquired Day/ Day/ Year) Year) 8) (A) or Disposed of (D) (Instr. 3, 4 & 5) Code V (A) (D) Date Expira-	ion or Trans- Deemed Trans- Number and Expiration Amo Exercise action Execution action of Date Unde Price of Date Date, Code Derivati(Month/Day/ Securite Securite Sear) (Instr. Securite Sear) Year) Pay/ Bay (A) or Dispose 1 of (D) (Instr. 3, 4 & 5) (Instr. 3, 4 & 5) (Instr.	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$

### (e.g., puts, calls, warrants, options, convertible securities)

Explanation of Responses:

(1) These shares acquired pursuant to the purchase of additional shares of stock held in the Fulton Financial Corporation Retirement Plan.

\*\*Signature of Reporting Person

By: /s/ <u>George R. Barr, Jr.</u> Attorney-in-fact for R. Scott Smith, Jr. February 10, 2003 Date

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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