#### TD AMERITRADE HOLDING CORP

Form 4 April 19, 2006

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

TD AMERITRADE HOLDING

30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

Common

Common

04/17/2006

04/18/2006

Stock

Stock

(Print or Type Responses)

RICKETTS THOMAS S

1. Name and Address of Reporting Person \*

(Check all applicable) CORP [AMTD] X\_ Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 4211 SOUTH 102ND STREET 04/17/2006 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **OMAHA, NE 68127** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 7. Nature of 1.Title of 3. 4. Securities Acquired (A) or 5. Amount of 6. Security (Month/Day/Year) Execution Date, if TransactionDisposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Instr. 8) Direct (D) (Month/Day/Year) Owned Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 04/18/2006  $J^{(1)}$ 131,568 <u>(1)</u> 439,925 D Α Stock By his Common 26,600 Ι children Stock (2)

 $S^{(4)}$ 

 $J^{(1)}$ 

1,551,515 D

624,990

By trust

annuity

trusts (5)

(3)

By

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

464,624

3,901,495

3,276,505

20.625

<u>(1)</u>

D

I

Ι

D

Estimated average

burden hours per

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Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and	int of rlying ities	8. Price of Derivative Security (Instr. 5)	
			Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RICKETTS THOMAS S 4211 SOUTH 102ND STREET X OMAHA, NE 68127

### **Signatures**

/s/ J. Peter Ricketts as attorney-in-fact for Thomas S.

Ricketts

04/19/2006

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of shares, for no consideration, from the rescinded Marlene M. Ricketts 2003-1 Qualified Annuity Trust, for which Mr. Ricketts is a remainder beneficiary of the trust.
- (2) Shares are held by trusts created for Mr. Ricketts' children.
- (3) Shares are held by Mr. Ricketts in his capacity as trustee of the Marlene M. Ricketts 1999 Irrevocable Trust f/b/o Thomas S. Ricketts of which trust Mr. Ricketts is sole beneficiary.

Reporting Owners 2

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- (4) Sale of shares, for estate planning purposes, by the Marlene M. Ricketts 2003-1 Qualified Annuity Trust, for which Mr. Ricketts is Co-Trustee, to Marlene M. Ricketts, its grantor and beneficiary, who is Mr. Ricketts' mother.
- (5) Shares are held by the Marlene M. Ricketts 2003-1 Qualified Annuity Trust, the Marlene M. Ricketts 2004-1 Qualified Annuity Trust and the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is Co-Trustee and his mother is sole beneficiary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.