Edgar Filing: MICRUS ENDOVASCULAR CORP - Form 3/A

MICRUS ENDOVASCULAR CORP

Form 3/A

October 03, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

À HENSON MICHAEL R

(Last) (First) (Middle)

821 FOX LANE

(Street)

Statement

(Month/Day/Year)

06/15/2005

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MICRUS ENDOVASCULAR CORP [MEND]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

06/15/2005

(Check all applicable)

_X__ Director 10% Owner Officer Other (give title below) (specify below)

6. Individual or Joint/Group

5. If Amendment, Date Original

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

SAN JOSE, CAÂ 95131

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

(I) (Instr. 5)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5) Direct (D) or Indirect

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4.

Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Amount or Title Number of Shares

Direct (D) or Indirect (I)

(Instr. 5)

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Convertible Warrant (1)	(2)	01/01/2011	Common Stock	13,888 (3)	\$ <u>(4)</u>	I	JAIC-Henson MedFocus Fund II, LLC
Series B Preferred Stock	(2)	(5)	Common Stock	8,888	\$ <u>(6)</u>	I	Michael R. Henson, TTEE Henson Family Trust 1/8/87
Series D Preferred Stock	(2)	(5)	Common Stock	7,342 (7)	\$ (8)	D (7)	Â
Series E Preferred Stock	(2)	(5)	Common Stock	27,777 (9)	\$ <u>(8)</u>	I	JAIC-Henson MedFocus Fund, LLC
Series E Preferred Stock	(2)	(5)	Common Stock	27,777	\$ (8)	I	JAIC-Henson MedFocus Fund II, LLC

Reporting Owners

Reporting Owner Name / Address	Relationships					
F-G	Director	10% Owner	Officer	Other		
HENSON MICHAEL R			•	•		
821 FOX LANE	ÂΧ	Â	Â	Â		
SAN JOSE, CA 95131						

Signatures

/s/ Michael R. Henson by Robert A Stern, Attorney-in-Fact.

10/03/2006

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common Stock Warrant
- (2) Immediately Exerciseable
- (3) This amount was erroneously reflected as 1,388 on the original Form 3.
- If the initial price to the public of the common stock sold is less than \$13.50 per share, these warrants become exercisable at \$0.000225 per share into an additional number of shares of common stock equal to 1,343,260 multiplied by the quotient of (i) \$13.50 less such initial price to the public, divided by (ii) such initial price to the public of the common stock sold is greater than or equal to \$13.50 per share, the warrants are not exercisable into shares of common stock.
- (5) Not Applicable.
- (6) Each share of Series B Preferred stock converts into 1.3157894 shares. The original Form 3 reflected a 1.32 conversion rate.
- On the original Form 3, the ownership of 6,387 of these shares of Series D Preferred Stock was reflected as indirectly held by the Henson Family Trust, Michael Henson, Trustee but is held directly by Michael Henson. The remaining 958 shares were originally reflected as a Convertible Series D Preferred Warrant, but that warrant was exercised in April 2005 and should have been listed as Series D Preferred Stock.

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- (8) Converts on a 1 to 1 basis.
- On the original Form 3, Column 3 reflected 55,555 shares of Series E Preferred Stock as indirectly held by the JAIC-Henson MedFocus Fund, LLC. This number was incorrect as 27,777 of these shares were indirectly held by the JAIC-Henson MedFocus Fund II, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.