## Edgar Filing: GORMAN JAMES CARVELL - Form 5

GORMAN JAMES CARVELL Form 5 February 09, 2007 **OMB APPROVAL** FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer GORMAN JAMES CARVELL Symbol GORMAN RUPP CO [GRC] (Check all applicable) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (Month/Day/Year) \_X\_ Director \_X\_ 10% Owner \_X\_\_ Officer (give title Other (specify 12/31/2006 below) below) THE GORMAN-RUPP Chairman COMPANY, 305 BOWMAN STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) MANSFIELD, OHÂ 44903 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) or 5. Amount 7. Nature 3. 6. of Indirect Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) of Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's **(I)** Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Amount (D) Price Common By Stock Â 401-K 11/13/2006 J 183 D \$ 38.37 5.381 (1) Ι (401-K

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Plan)									
Common Stock (401-K Plan)	12/31/2006	Â	J	30	А	\$ 36.97	6,756	I	By 401-K Trust
Common Stock	12/06/2006	Â	J	10,000	D	\$ 38.475	405,372	I	By James C. Gorman Trust
Common Stock	12/08/2006	Â	J	108,643 (2)	A	\$ 30.6118	514,015	I	By James C. Gorman Trust
Common Stock	12/29/2006	Â	G	5,600	D	\$ 37.05	508,415	I	By James C. Gorman Trust
Common Stock	12/06/2006	Â	J	10,000	А	\$ 38.475	10,000	D	Â
Common Stock	12/08/2006	Â	J	446,234 (2)	А	\$ 30.6118	2,252,128	Ι	By family <u>(3)</u>
Common Stock (Company Stock Plan)	12/08/2006	Â	J	433 <u>(2)</u>	A	\$ 30.6118	2,252,561	I	By family <u>(4)</u>
Common Stock (Company Stock Plan)	12/08/2006	Â	L	28	А	\$ 30.6118	2,252,589	I	By family <u>(5)</u>
Common Stock	12/29/2006	Â	G	5,600	А	\$ 37.05	2,258,189	Ι	By family <u>(6)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Date	Amount of	Derivative	of
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	D
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Se
	Derivative				Securities	5	(Instr. 3 and 4)		В
	Security				Acquired				0
					(A) or				E

SEC 2270

(9-02)

Disposed

(Instr. 3,

of (D)

4, and 5) (A) (D) Date Expiration Title Amount Exercisable Date or Number

of Shares

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
F8	Director	10% Owner	Officer	Other			
GORMAN JAMES CARVELL THE GORMAN-RUPP COMPANY 305 BOWMAN STREET MANSFIELD, OH 44903	ÂX	ÂX	Chairman	Â			
Signatures							
James C. Gorman BY: /s/David P. En Attorney-in-Fact		02/09/2007					
<u>**</u> Signature of Reporting Pers		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects distribution of cash equivalent of 183 common shares required to be made by Internal Revenue Code due to reporting person being over age 70 1/2.
- (2) As of December 8, 2006, the amount of common shares beneficially owned was increased due to a 5-for-4 split of the common shares.
- Includes 452,491 shares owned by the Marjorie N. Gorman Trust (of which Mr. Gorman's wife is sole trustee) and 360,766 shares held in
   (3) trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 1,438,871 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.

Includes 452,491 shares owned by the Marjorie N. Gorman Trust (of which Mr. Gorman's wife is sole trustee) and 360,766 shares held in
(4) trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 1,439,304 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.

Includes 452,491 shares owned by the Marjorie N. Gorman Trust (of which Mr. Gorman's wife is sole trustee) and 360,766 shares held in
 (5) trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 1,439,332 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.

Includes 452,491 shares owned by the Marjorie N. Gorman Trust (of which Mr. Gorman's wife is sole trustee) and 360,766 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 1,444,932 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.