Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

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TD AMERI' Form 4 April 23, 200	TRADE HOLDIN 09	IG CORP									
OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB											
		ITIES A hington,		OMB Number:	3235-0287						
Check th if no long	aar	STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES							Expires:	January 31, 2005	
subject to Section 1 Form 4 c	16.								Estimated a burden hou response	average rs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Responses)											
MOGLIA JOSEPH H Symbol				Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
			TD AMERITRADE HOLDING CORP [AMTD]					(Check all applicable)			
(Last) (First) (Middle) 3. Date of (Month/D				Earliest Transaction ay/Year)				X_ Director10% Owner Officer (give titleOther (specify below) below)			
4211 SOUT	009				below) below)						
				ndment, Date Original				6. Individual or Joint/Group Filing(Check			
OMAHA, N	th/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person										
(City)	(State) ((Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		ansaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5)) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	(D)	Price	(IIISU: 5 allu 4)			
Stock	04/21/2009			М	4,000	А	\$ 3.9	592,210	D		
Common Stock	04/21/2009			S <u>(1)</u>	4,000	D	\$ 16.19 (2)	588,210	D		
Common Stock	04/21/2009			М	1,000	А	\$ 3.9	589,210	D		
Common Stock	04/21/2009			S <u>(1)</u>	1,000	D	\$ 15.21 (3)	588,210	D		
	04/22/2009			М	6,000	А	\$ 3.9	594,210	D		

Common Stock								
Common Stock	04/22/2009	S <u>(1)</u>	6,000	D	\$ 16.46 (4)	588,210	D	
Common Stock	04/23/2009	М	6,000	А	\$ 3.9	594,210	D	
Common Stock	04/23/2009	S <u>(1)</u>	6,000	D	\$ 16.51 (5)	588,210	D	
Common Stock						6,683	Ι	By 401k account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 3.9	04/21/2009		М	5,000	<u>(6)</u>	03/01/2013	Common Stock	5,000
Employee Stock Option (right to buy)	\$ 3.9	04/22/2009		М	6,000	<u>(6)</u>	03/01/2013	Common Stock	6,000
Employee Stock Option	\$ 3.9	04/23/2009		М	6,000	<u>(6)</u>	03/01/2013	Common Stock	6,000

8 I S ((right to buy)

Reporting Owners

Reporting Owner Name / Address		Relationsh	ips		
1.0.0	Director	10% Owner	Officer	Other	
MOGLIA JOSEPH H 4211 SOUTH 102ND ST. OMAHA, NE 68127	Х				
Signatures					
/s/ Graham Fowler, as attorney Moglia		04/23/2009			
**Signature of Report	ting Person			Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected under a Rule 10b5-1 trading plan adopted by the reporting person on August 13, 2008.

This price represents the weighted average sales price for multiple transactions reported on this line. The prices of the transactions(2) reported on this line ranged from \$15.51 to \$16.51. Upon request by the SEC staff, the issuer or a security holder of the issuer, the reporting person will undertake to provide full information regarding the number of shares and prices at which transactions were effected.

This price represents the weighted average sales price for multiple transactions reported on this line. The prices of the transactions(3) reported on this line ranged from \$14.90 to \$15.47. Upon request by the SEC staff, the issuer or a security holder of the issuer, the reporting person will undertake to provide full information regarding the number of shares and prices at which transactions were effected.

This price represents the weighted average sales price for multiple transactions reported on this line. The prices of the transactions
(4) reported on this line ranged from \$16.16 to \$16.79. Upon request by the SEC staff, the issuer or a security holder of the issuer, the reporting person will undertake to provide full information regarding the number of shares and prices at which transactions were effected.

This price represents the weighted average sales price for multiple transactions reported on this line. The prices of the transactions
 (5) reported on this line ranged from \$16.24 to \$16.79. Upon request by the SEC staff, the issuer or a security holder of the issuer, the reporting person will undertake to provide full information regarding the number of shares and prices at which transactions were effected.

(6) The option vested daily from the date of grant over 731 days.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.