Griffin William F Jr Form 4 August 06, 2009

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

X\_\_ 10% Owner

\_ Other (specify

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Griffin William F Jr Issuer Symbol ARGAN INC [AGX] (Last) (First) (Middle) 3. Date of Earliest Transaction

(Check all applicable)

Director

X\_ Officer (give title

C/O GEMMA POWER SYSTEMS, LLC. 2461 MAIN STREET

(Street)

4. If Amendment, Date Original

VC of Gemma Power Systems, LLC 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

(Month/Day/Year)

08/04/2009

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

#### GLASTONBURY, CT 06033

| (City)                               | (State)                                 | Zip) Table  | e I - Non-D                             | erivative                             | Secur                        | rities Acq  | uired, Disposed o  | f, or Beneficial   | ly Owned  |
|--------------------------------------|---|---|---|---------------------------------------|------------------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | ed of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 08/04/2009                              |   | S(1)                                    | 100                                   | D                            | \$<br>14.88 | 1,020,902  | D  |   |
| Common<br>Stock                      | 08/05/2009                              |   | S <u>(1)</u>                            | 100                                   | D                            | \$<br>14.84 | 1,020,802  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Griffin William F Jr - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | f 2.         | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer        | cisable and     | 7. Titl            | le and     | 8. Price of | 9. Nu  |
|-------------|--------------|---------------------|--------------------|------------|------------|---------------------|-----------------|--------------------|------------|-------------|--------|
| Derivative  | e Conversion | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D        | ate             | Amou               | ınt of     | Derivative  | Deriv  |
| Security    | or Exercise  |                     | any                | Code       | of         | (Month/Day/         | /Year)          | Under              | rlying     | Security    | Secui  |
| (Instr. 3)  | Price of     |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e                   |                 | Secur              | ities      | (Instr. 5)  | Bene   |
|             | Derivative   |                     |                    |            | Securities | S                   |                 | (Instr.            | . 3 and 4) |             | Own    |
|             | Security     |                     |                    |            | Acquired   |                     |                 |                    |            |             | Follo  |
|             |              |                     |                    |            | (A) or     |                     |                 |                    |            |             | Repo   |
|             |              |                     |                    |            | Disposed   |                     |                 |                    |            |             | Trans  |
|             |              |                     |                    |            | of (D)     |                     |                 |                    |            |             | (Instr |
|             |              |                     |                    |            | (Instr. 3, |                     |                 |                    |            |             |        |
|             |              |                     |                    |            | 4, and 5)  |                     |                 |                    |            |             |        |
|             |              |                     |                    |            |            |                     |                 |                    | A          |             |        |
|             |              |                     |                    |            |            |                     |                 |                    | Amount     |             |        |
|             |              |                     |                    |            |            | Date<br>Exercisable | Expiration Date | or<br>Title Number |            |             |        |
|             |              |                     |                    |            |            |                     |                 |                    |            |             |        |
|             |              |                     |                    | C-1- V     | (A) (D)    |                     |                 |                    | of         |             |        |
|             |              |                     |                    | Code v     | (A) (D)    |                     |                 |                    | Shares     |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Officer Other Director 10% Owner

Griffin William F Jr C/O GEMMA POWER SYSTEMS, LLC 2461 MAIN STREET GLASTONBURY, CT 06033

X VC of Gemma Power Systems, LLC

Relationships

### **Signatures**

/s/ William

Griffin 08/06/2009

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale of shares under the 10b5-1 Plan of William F. Griffin, Jr.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2